

Witness-Succinct Universally-Composable SNARKs

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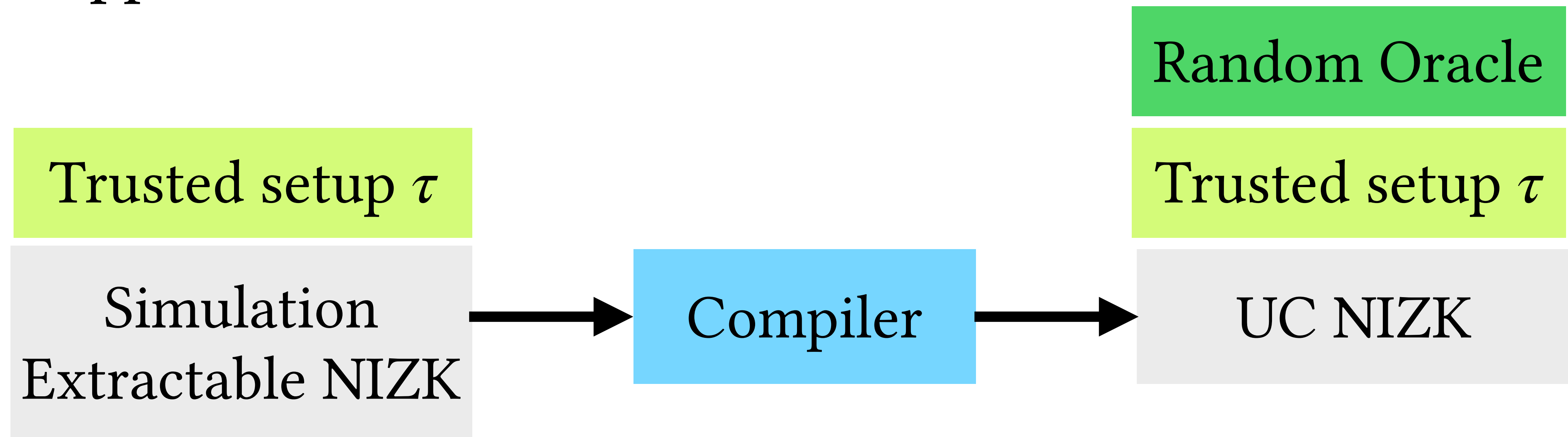
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To appear at Eurocrypt 2023

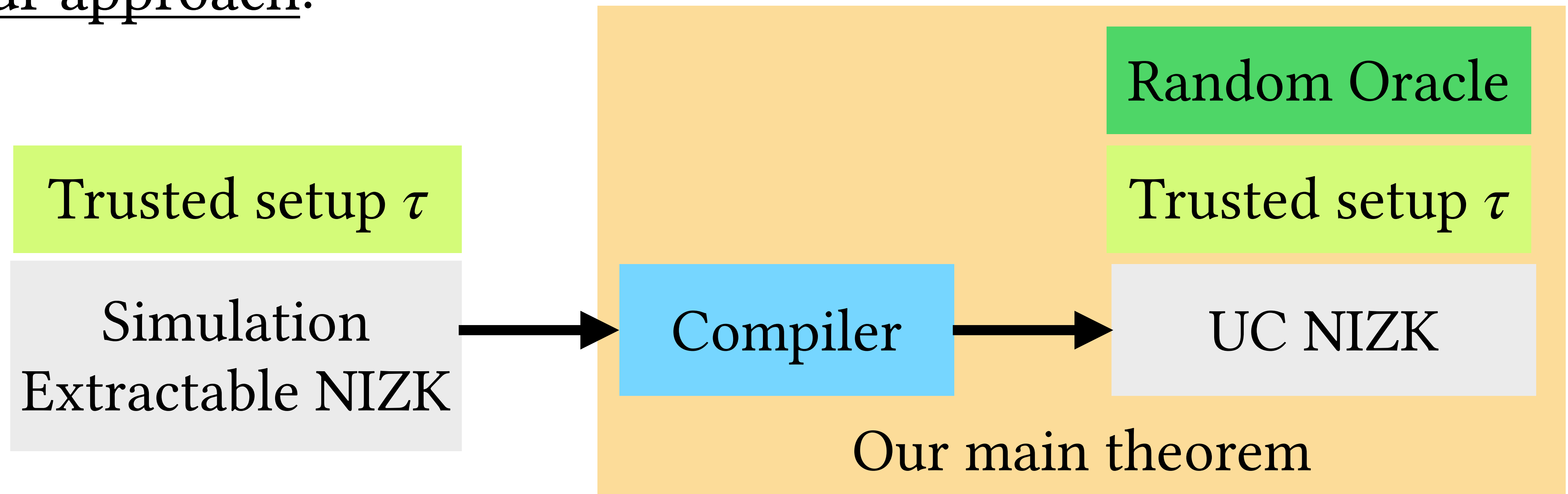
In a Nutshell

- We present the first constant-sized Universally Composable (UC) Non-interactive Zero-Knowledge Proofs
- Our approach:



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**Witness-Succinct
Universally Composable
SNARKs**

SNARKs

- Type of cryptographic proof
 - Succinct — proof size is smaller than circuit or witness
 - Non-interactive — single message
 - Argument of Knowledge — witness is “extractable” from prover
- Many constructions, with tradeoffs in proof size, prover running time, verification cost, trusted setup, security guarantee
- **This talk:** focus on best possible succinctness— $O_k(1)$ sized proofs

SNARKs

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Security parameter terms are constants

Universally Composable

- Framework for concurrent security introduced in [Canetti 01]
- Guarantees composition in any context
- Modular, convenient to work with as a protocol designer
- ...but is challenging to achieve

Witness-Succinct

- *Witness* succinctness: proof size $|\pi| \in O_{\kappa}(1)$
- Contrast with *circuit* succinctness: $|\pi| = \theta_{\kappa}(|w|) + o_{\kappa}(|C|)$

Witness-Succinct

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- Contrast with *circuit* succinctness: $|\pi| = \theta_k(|w|) + o_k(|C|)$

Not a problem when witness is small
But imagine proving statements about a
large pre-image of a public digest, etc.

This Talk

- **What will be covered:**
Technique to lift Simulation Extractable (SE) SNARKs to UC security at $O_\kappa(1)$ overhead
- **What won't be touched:**
How to instantiate SE SNARKs, intricacies and formalism of UC (this is to help understanding, not to hand-wave; please ask if something is unclear!)

Structure of this talk

1

Quick recap: NIZK

Why UC?

2

What existing works
already achieve

What makes achieving
UC difficult

A (too) simple
approach

3

Relaxing to
ROM

Solution
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Core tool: Succinct Extractable
Concrete Commitments

4

Final remarks

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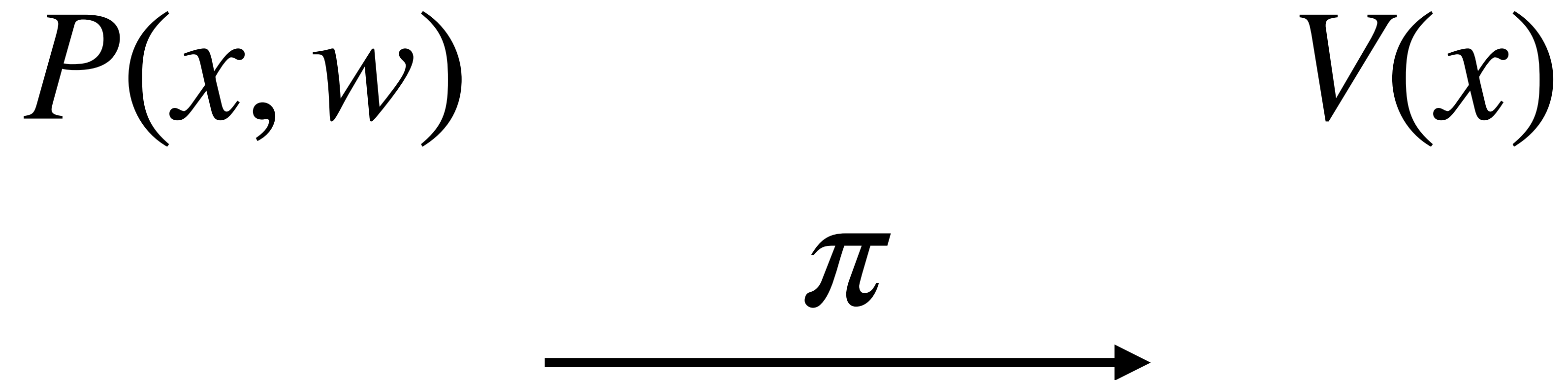
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4

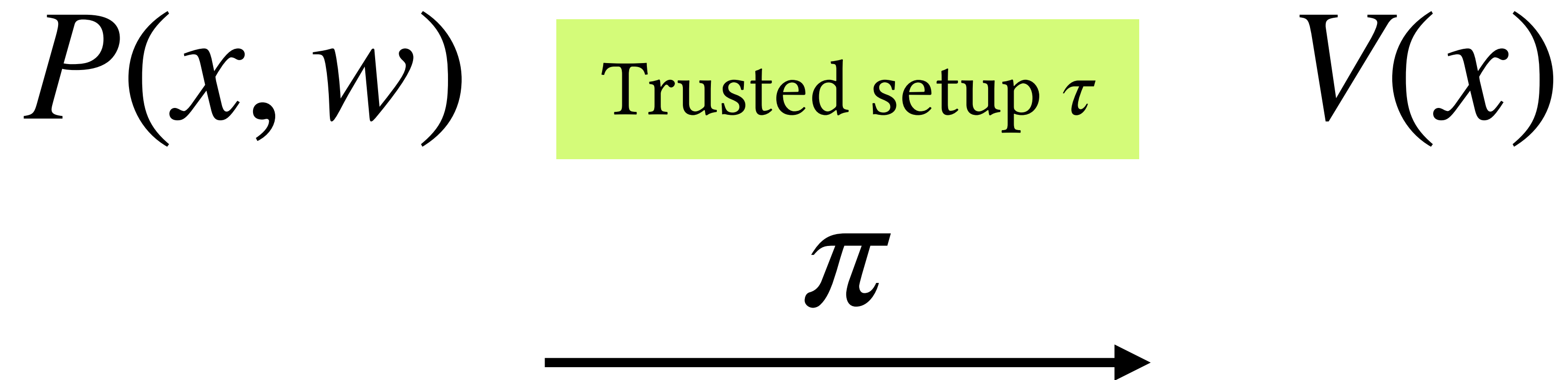
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Recap: NIZK



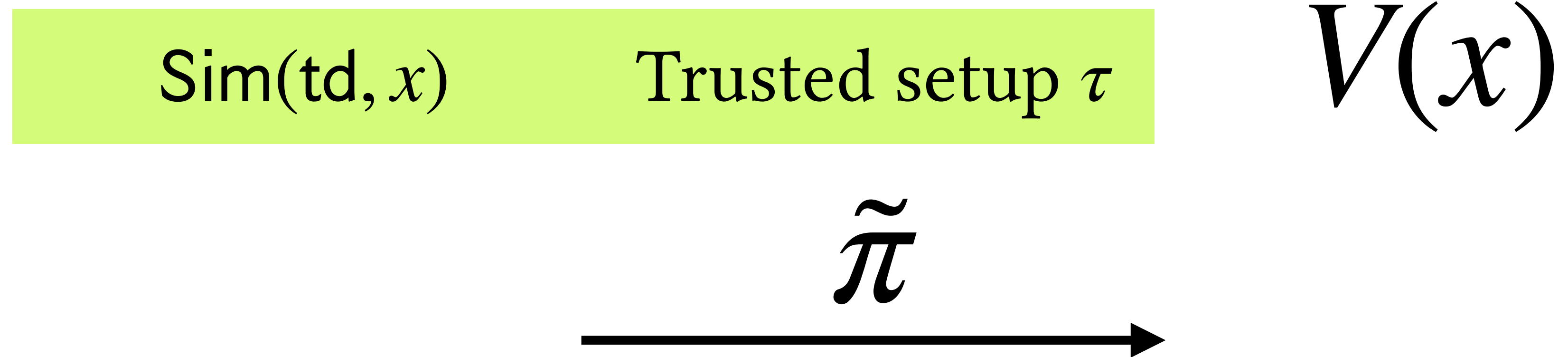
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- **Zero-knowledge:** π is simulatable without a witness (but a special trapdoor)
- **Argument of knowledge:** w can be extracted from π when $V(x, \pi) = 1$

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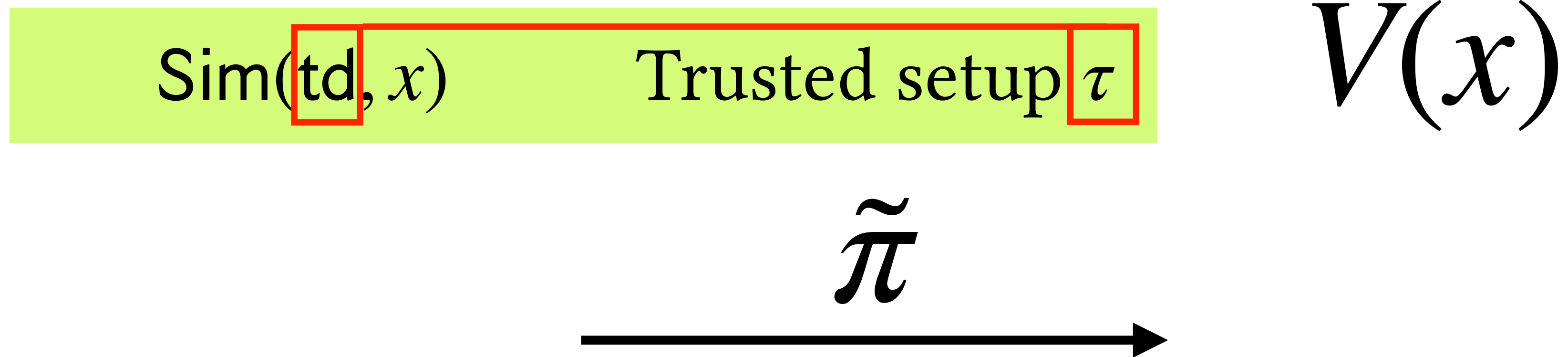
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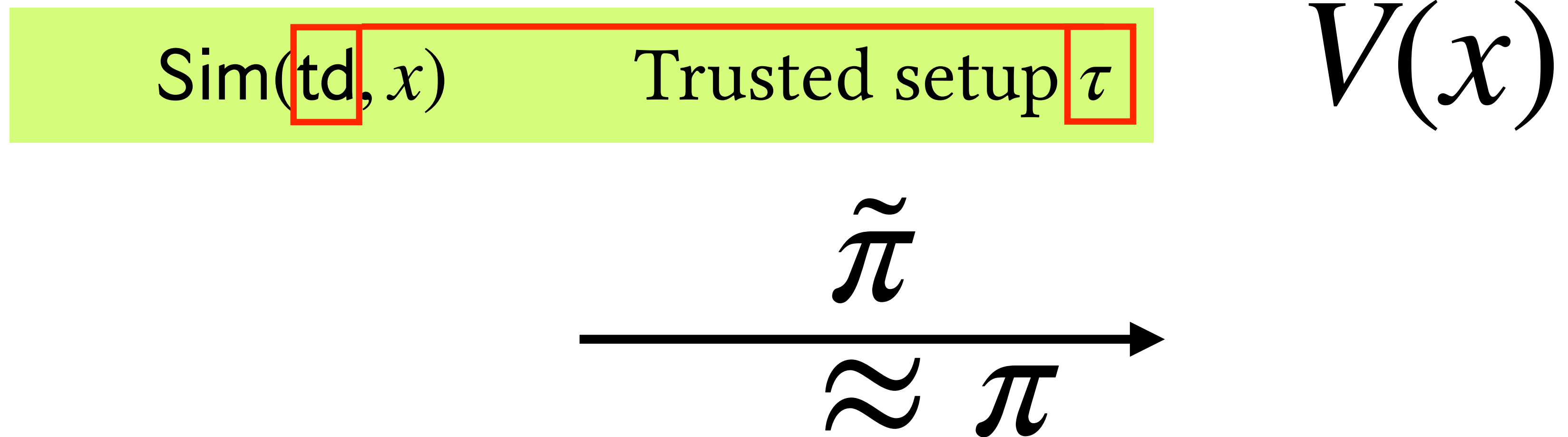
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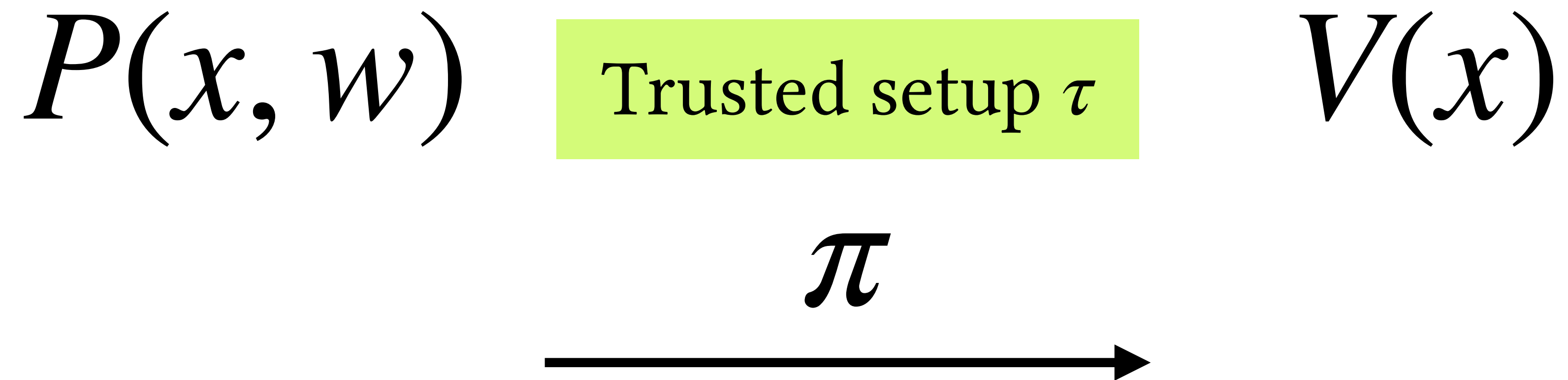
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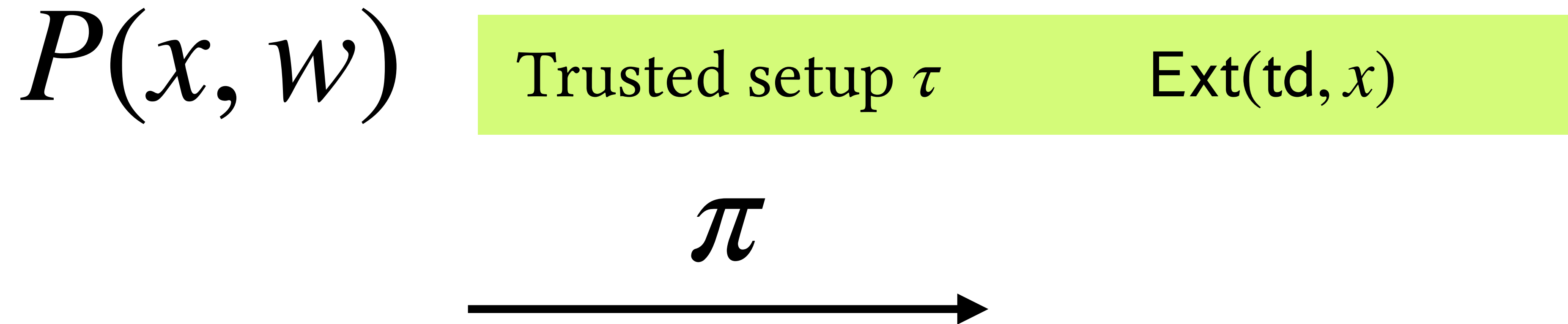
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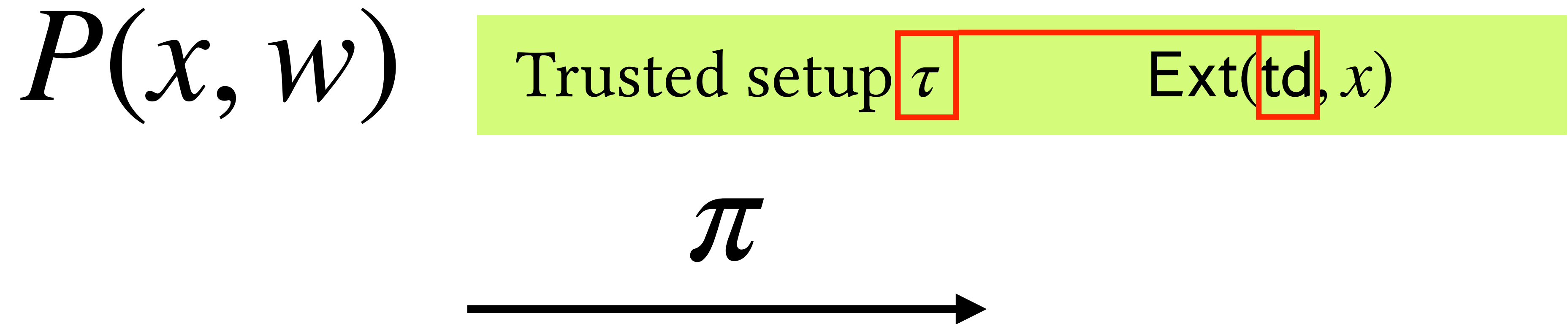
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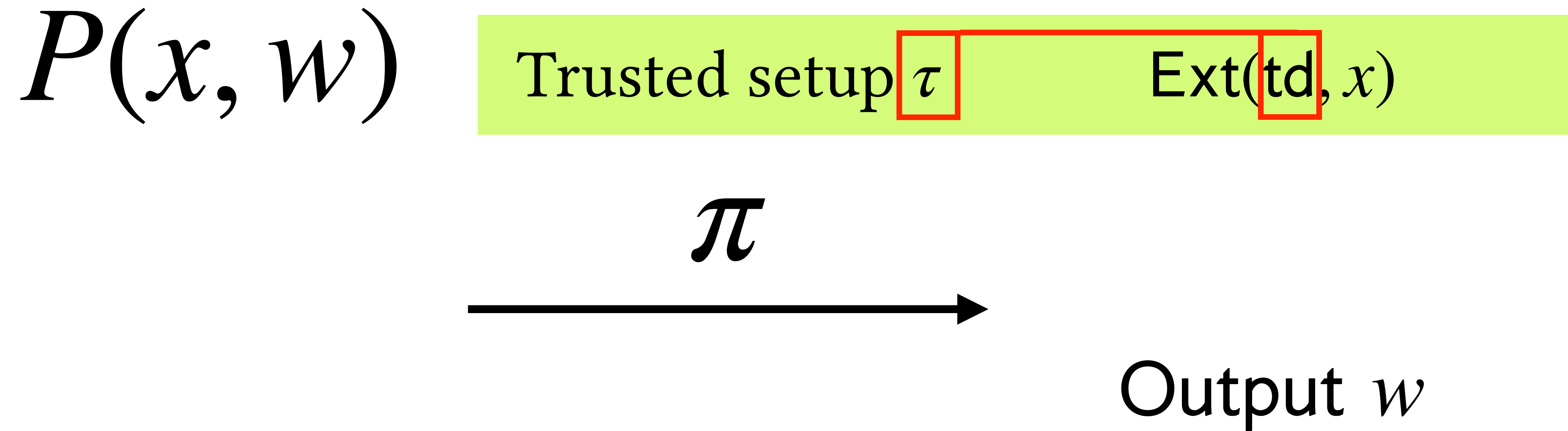
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already achieve

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approach

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ROM

Solution
template

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4

Final remarks

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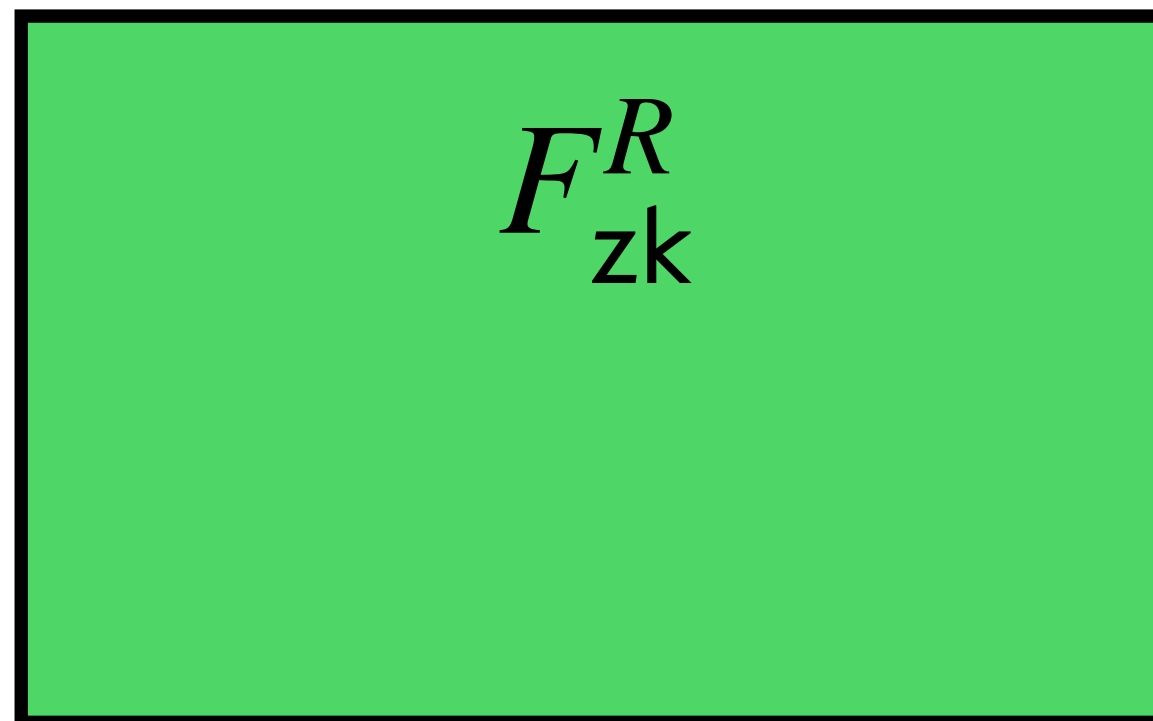
4

Final remarks

Zero-knowledge in UC

- An ideal oracle that you can use in your higher level protocol
- Safe to compose in any environment

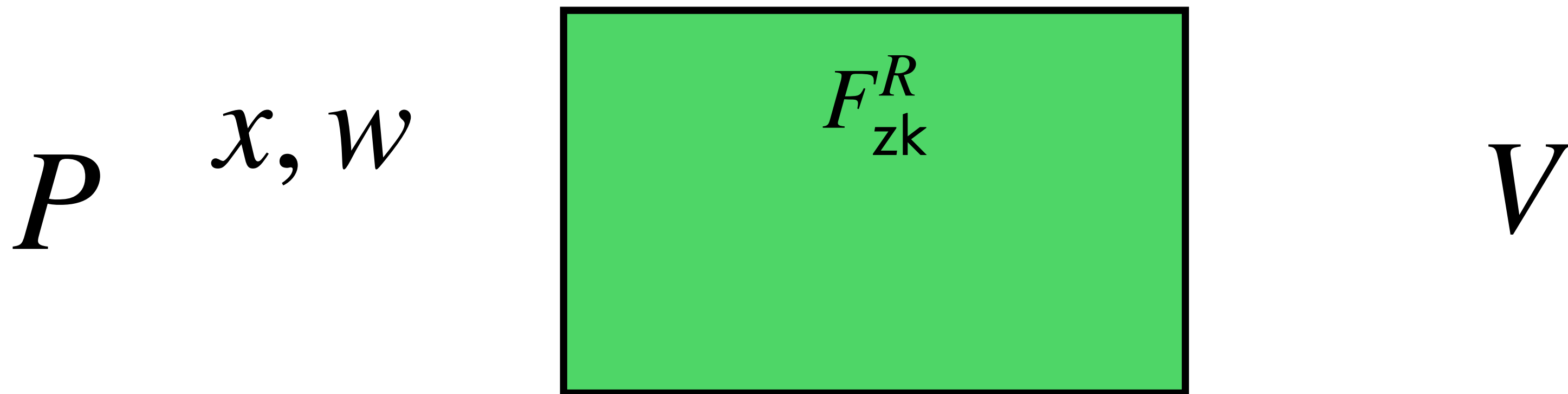
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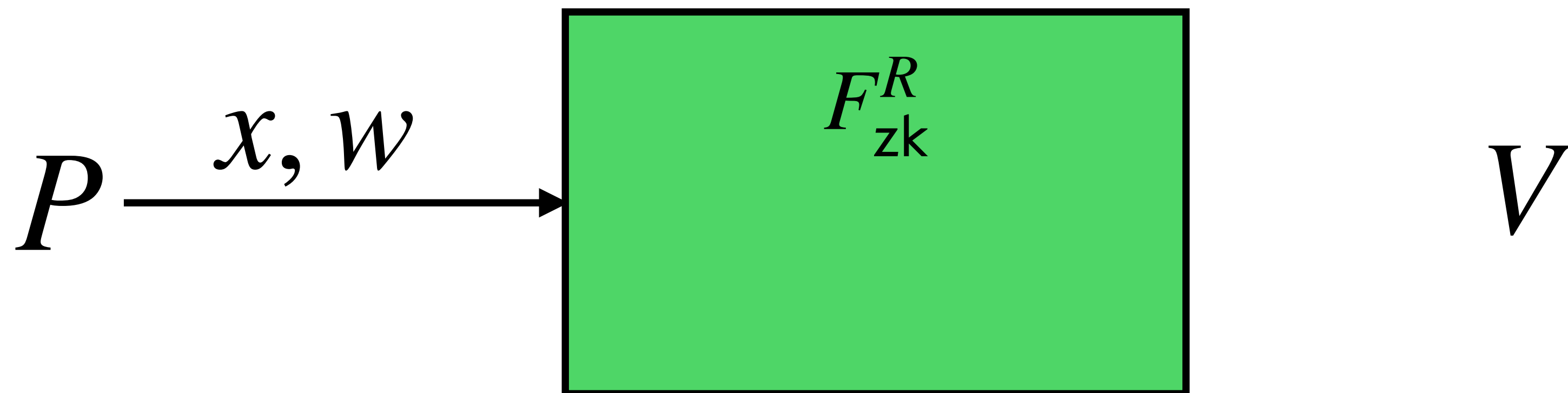
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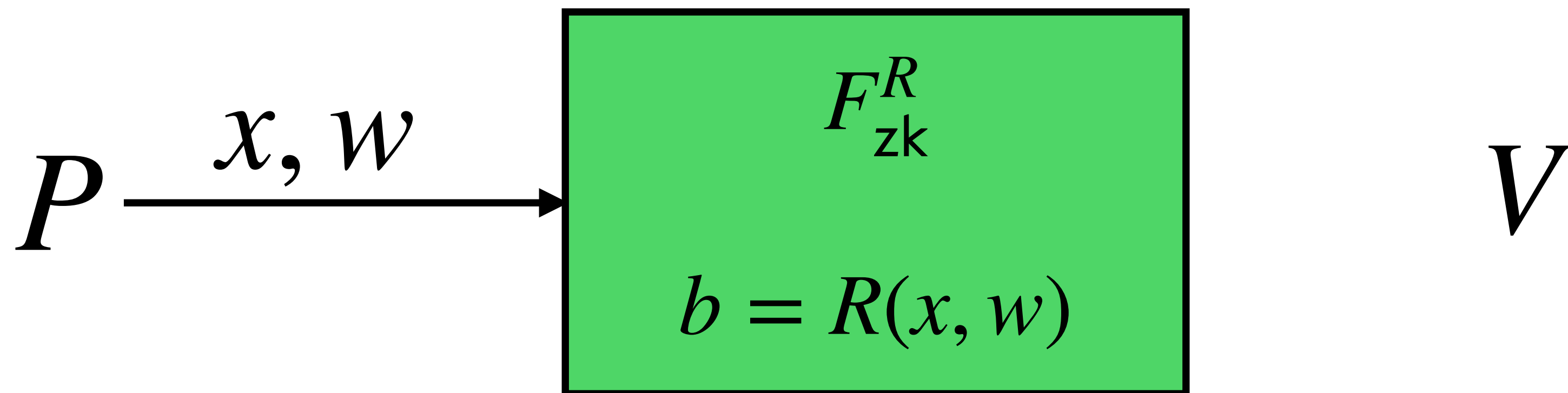
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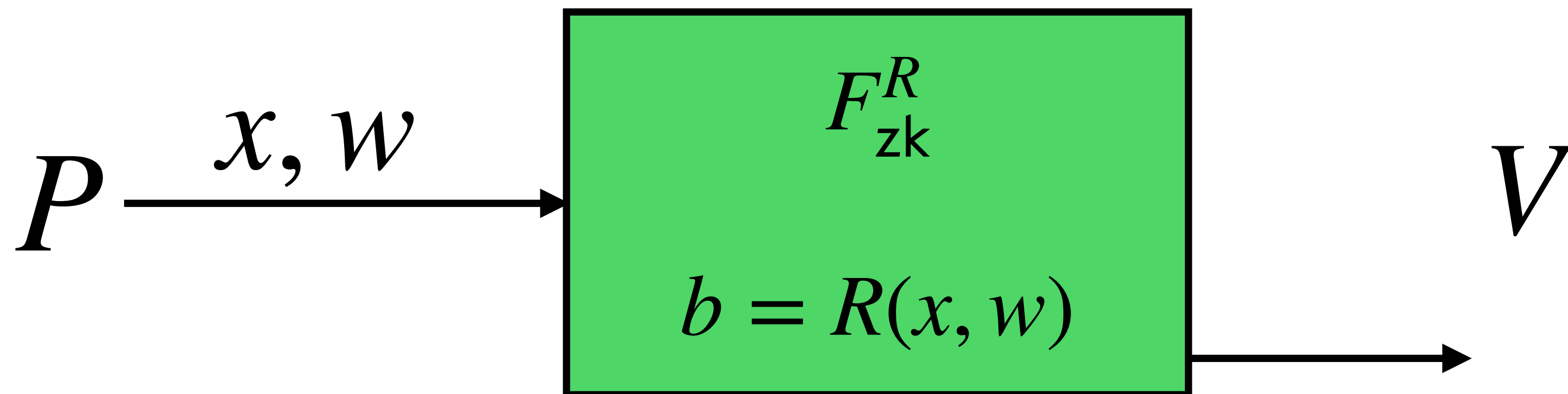
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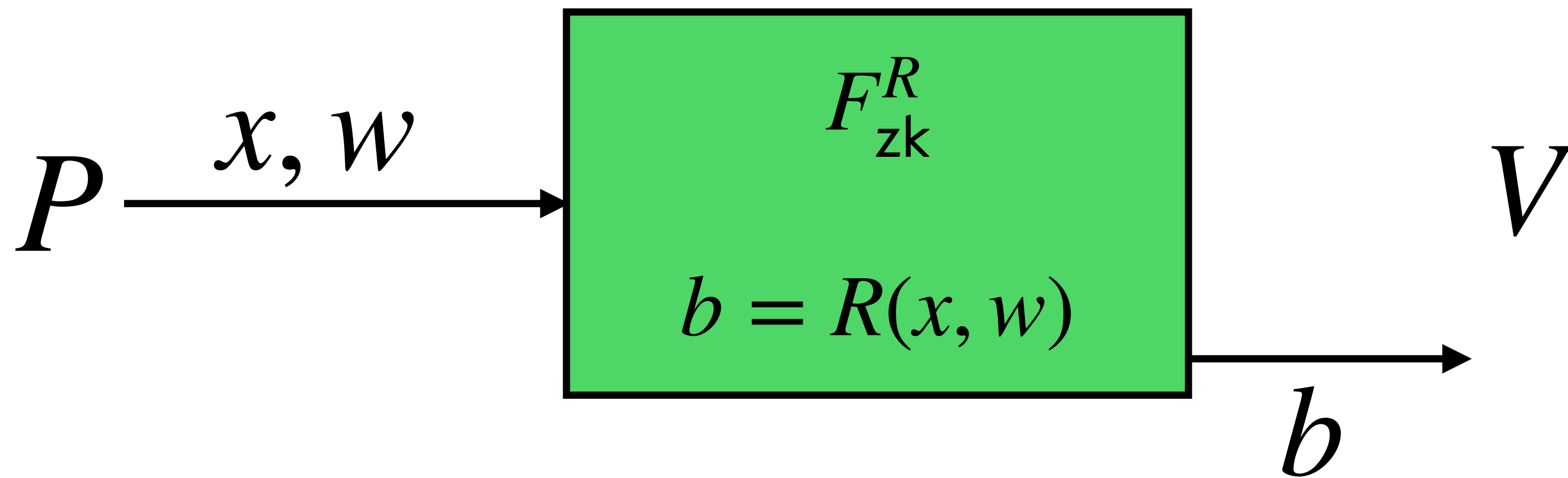
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What's Needed for UC Security?

- In a nutshell, simulation and extraction must be **blackbox** and **straight-line**
 - “Knowledge” of a witness may come from a larger protocol context / environment; rewinding the environment or looking at its code is not conducive to proving composition
- Relevant to this talk: Sim and Ext that are straight-line and make oracle use of the adversary

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already achieve

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A (too) simple
approach

3

Relaxing to
ROM

Solution
template

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Concrete Commitments

4

Final remarks

Structure of this talk

1

Quick recap: NIZK

Why UC?

2

What existing works
already achieve

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approach

3

Relaxing to
ROM

Solution
template

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Concrete Commitments

4

Final remarks

Starting Point: SE-SNARK

- The strongest non-malleability notion known to be satisfied by SNARKs so far is Simulation Extractability (SE)
- This work is about “lifting” to full UC security
- The difference between SE and UC is subtle; lies in **blackbox extraction**
- In particular, SE-SNARK extractor depends on the code of the adversary—for each adversary \mathcal{A} , there exists an extractor $\text{Ext}_{\mathcal{A}}$

Simulation Extractability

\mathcal{A}

Sim(td, \cdot)

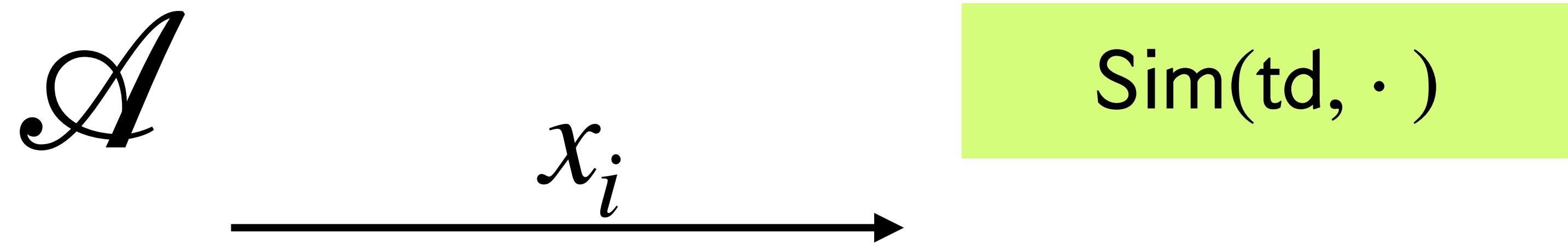
Simulation Extractability

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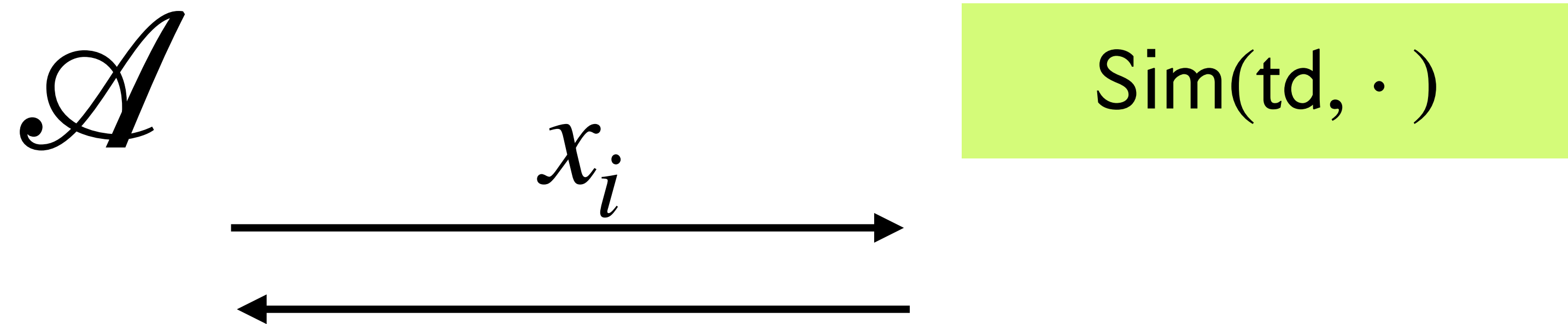
x_i

Sim(td, \cdot)

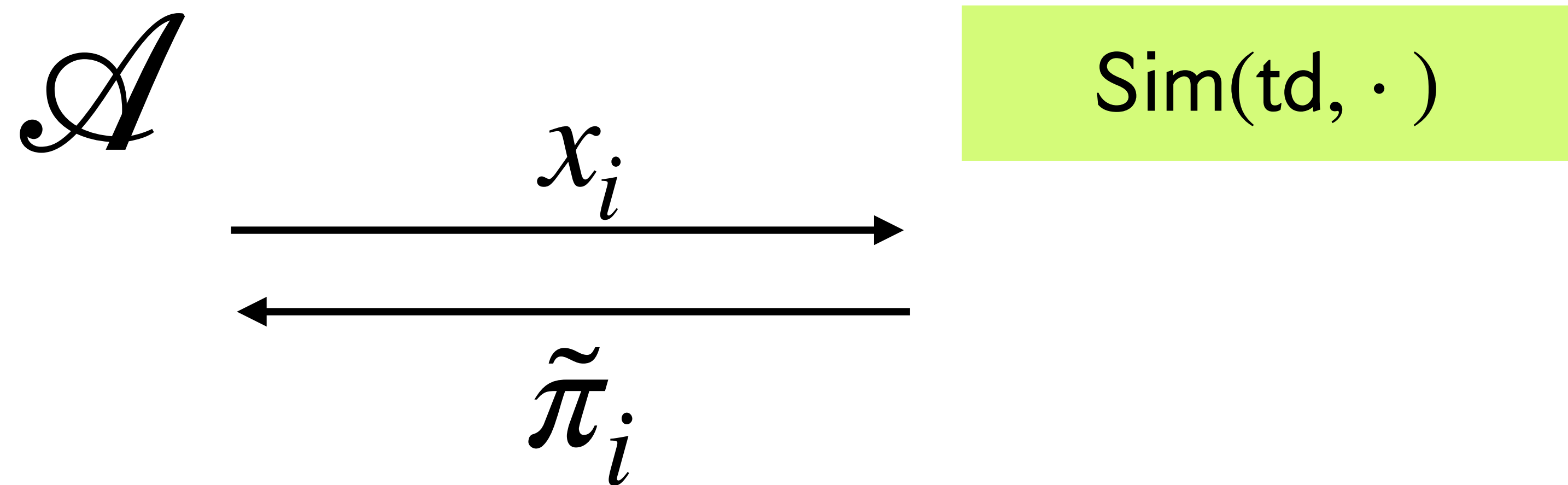
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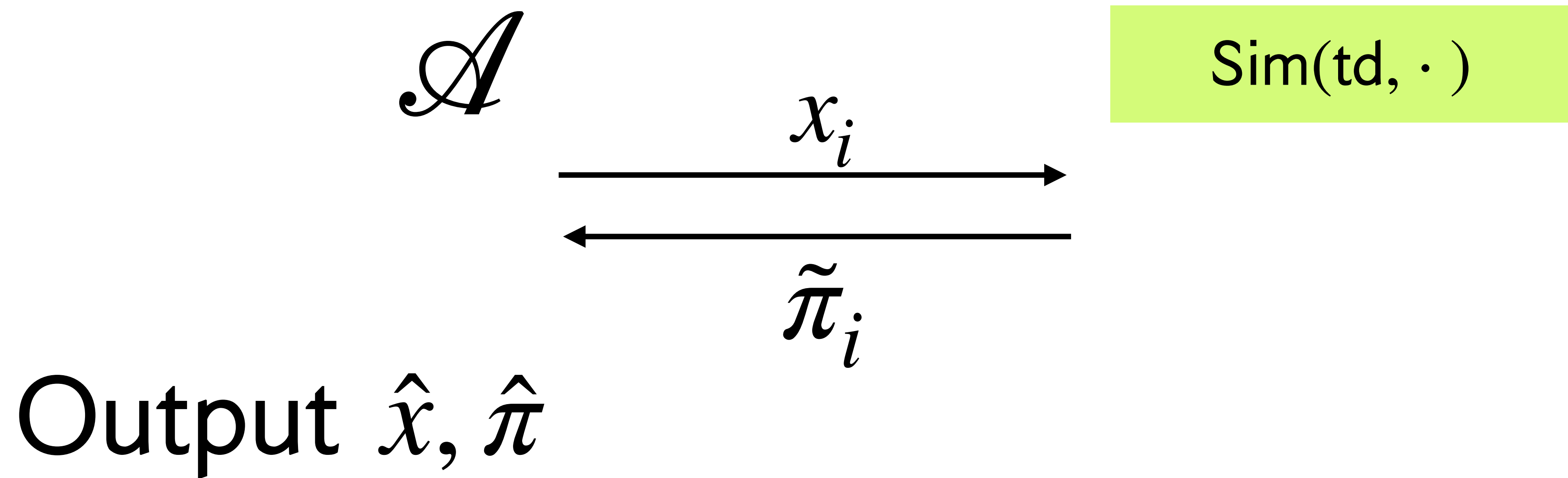
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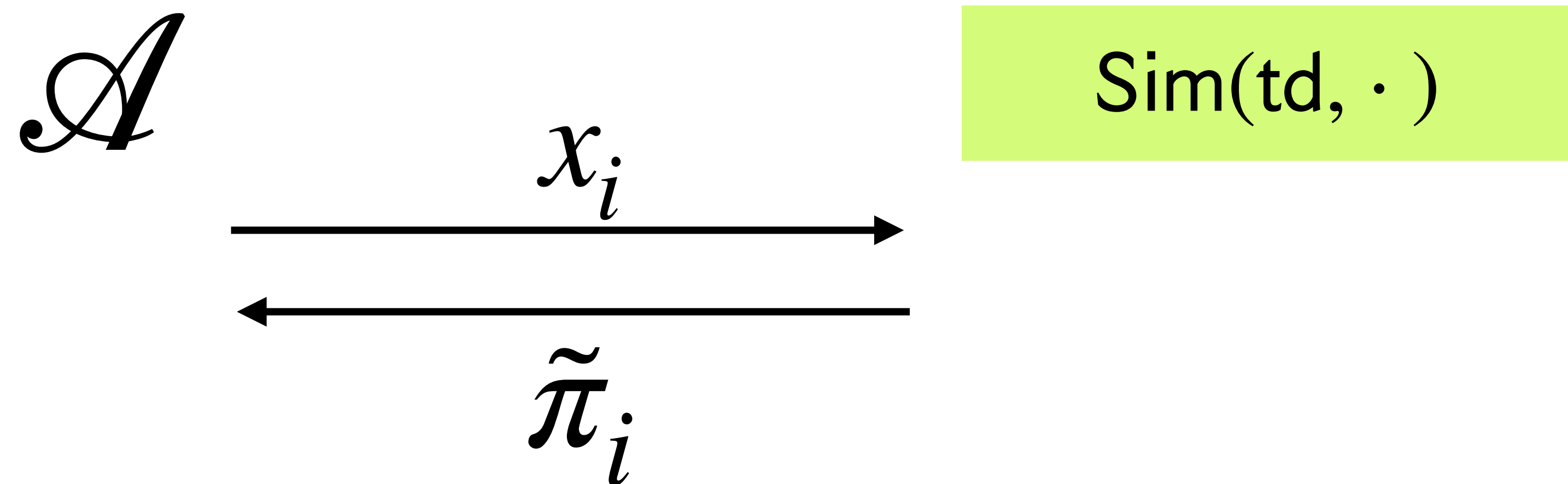
Simulation Extractability



Simulation Extractability



Simulation Extractability



Output $\hat{x}, \hat{\pi}$

- \mathcal{A} wins if $V(\hat{x}, \hat{\pi}) = 1$ but $\text{Ext}_{\mathcal{A}}(\text{td}, \pi)$ fails to output a witness

Non-blackbox Extraction

- SE-SNARK constructions are proven secure with non-falsifiable “knowledge assumptions”
- Roughly, a knowledge assumption purports the existence of an extractor, which can inspect the code of an adversary to deduce useful information
- Eg. Knowledge of Exponent (KEA):
For any \mathcal{A} s.t. $(X, Y) \leftarrow \mathcal{A}(g, g^a)$ where $X = Y^a$, there exists $\text{Ext}_{\mathcal{A}}$ s.t.
 $y \leftarrow \text{Ext}_{\mathcal{A}}(g, g^a)$ where $g^y = Y$

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UC difficult

A (too) simple
approach

3

Relaxing to
ROM

Solution
template

Core tool: Succinct Extractable
Concrete Commitments

4

Final remarks

Structure of this talk

1

Quick recap: NIZK

Why UC?

2

What existing works
already achieve

What makes achieving
UC difficult

A (too) simple
approach

3

Relaxing to
ROM

Solution
template

Core tool: Succinct Extractable
Concrete Commitments

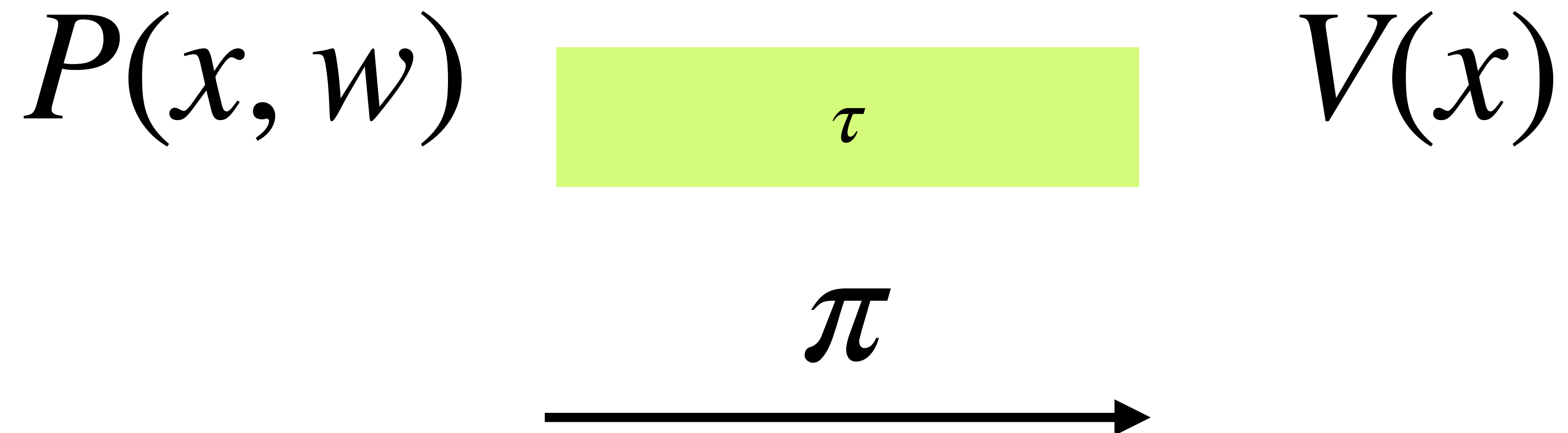
4

Final remarks

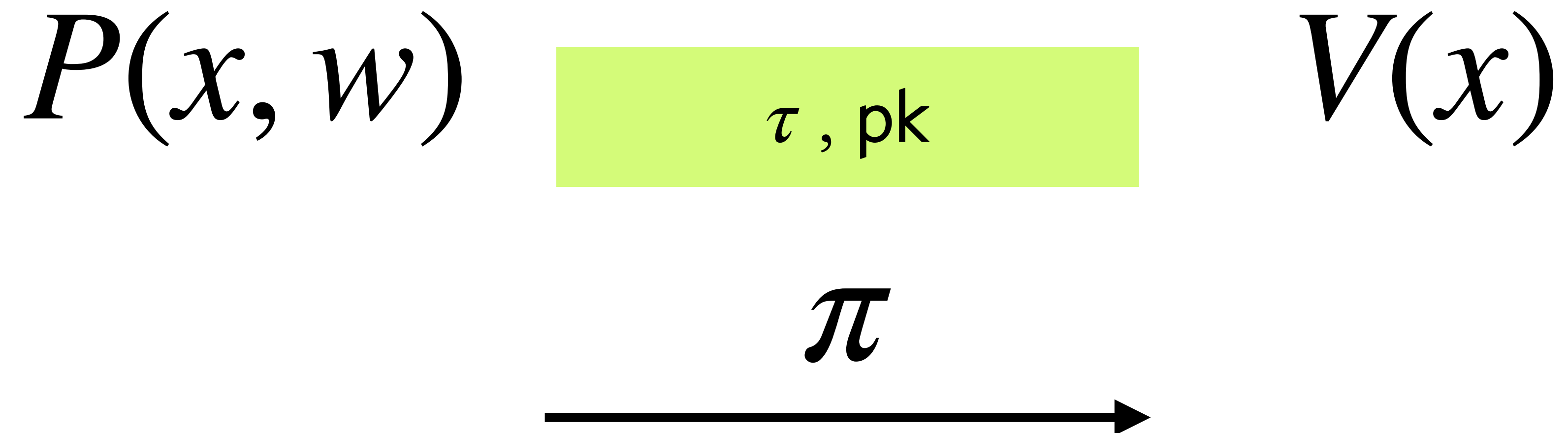
Non-blackbox Extraction to UC

- The existence of $\text{Ext}_{\mathcal{Z}}$ means that an environment \mathcal{Z} that produces a SNARK must fundamentally know a witness
- However $\text{Ext}_{\mathcal{Z}}$ can not be invoked
- Lifting this SNARK to a UC NIZK is then a matter of forcing the environment to use this knowledge within the protocol context

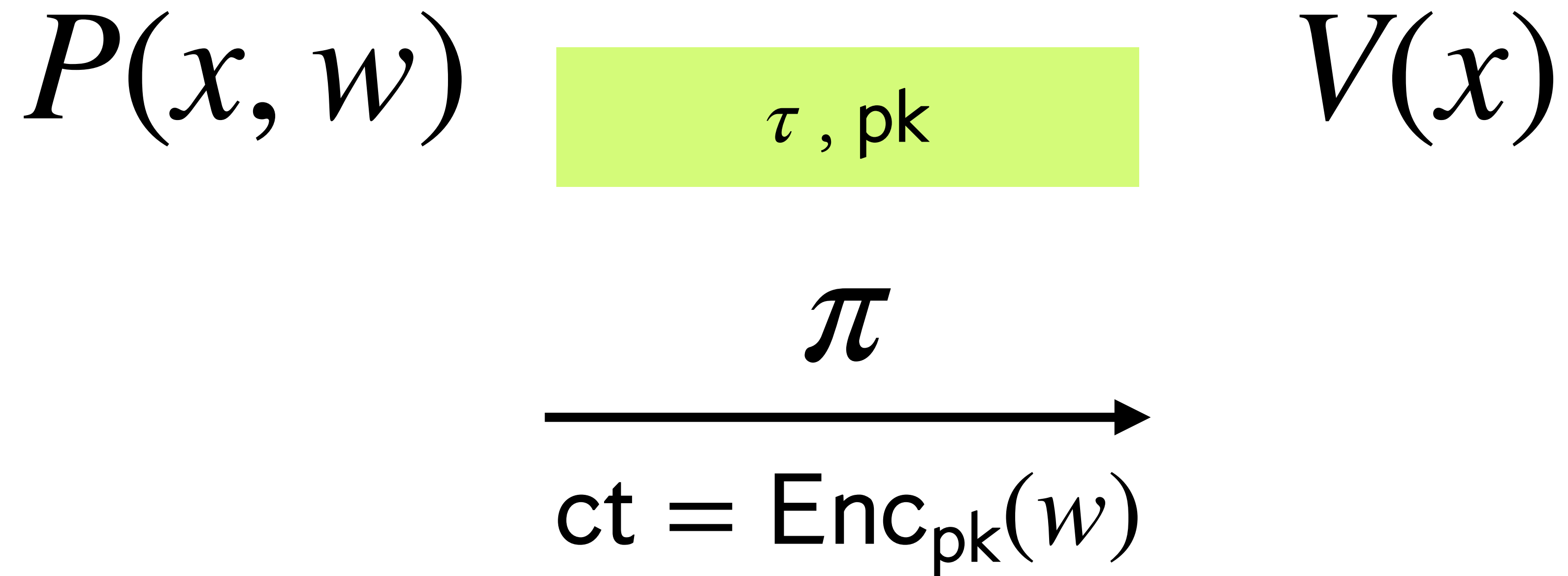
Simple Approach: Encrypt-then-prove



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$$P(x, w) \quad \boxed{\tau, pk} \quad V(x)$$

π : “ct encrypts a witness to x ”



$$ct = \text{Enc}_{pk}(w)$$

Simple Approach: Encrypt-then-prove

$P(x, w)$

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π : “ct encrypts a witness to x ”



$\text{ct} = \text{Enc}_{\text{pk}}(w)$

$w = \text{Dec}(\text{sk}, \text{ct})$

Simple Approach: Encrypt-then-prove

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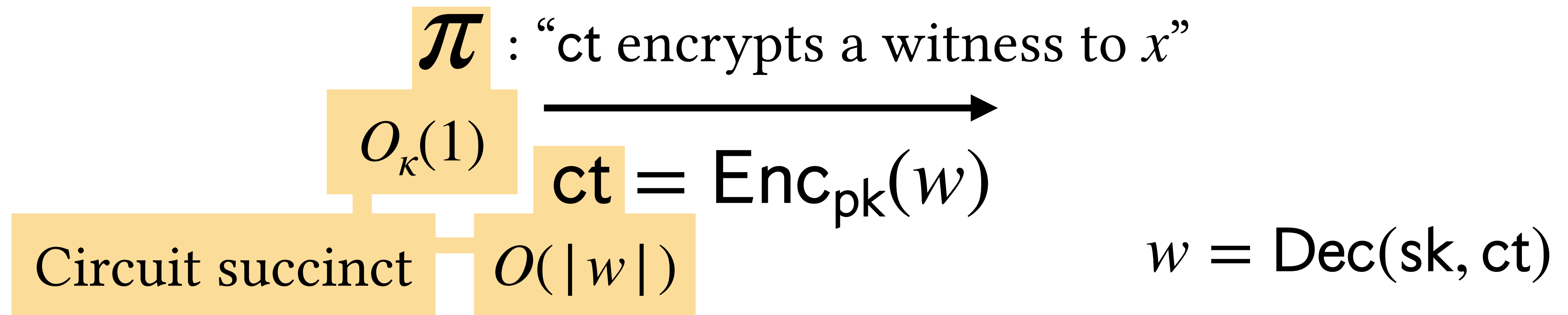
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- Validity of w follows from correctness of encryption+SNARK soundness

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$$P(x, w) \quad \tau, \boxed{\text{pk}} \quad \text{Ext}(\boxed{\text{sk}}, x)$$



- Validity of w follows from correctness of encryption+SNARK soundness

Simple Approach: Encrypt-then-prove

- Approach taken by [DDOPS01] for simulation-sound NIZK, and later CØCØ [KZMQCPRsS15] to obtain circuit-succinct UC SNARK
- However encrypting the witness inherently limits this approach to $\theta(|w|)$ sized proofs
- [KZMQCPRsS15]: “no known UC-secure zero-knowledge proof construction that is circuit *and* witness-succinct, even under non-standard assumptions”

What Assumptions are Reasonable?

- The extractor clearly needs a trapdoor unavailable to the real verifier
- A Common Reference String trapdoor alone is insufficient [CGKS22]

$P(x, w)$

Trusted setup τ

$\text{Ext}(\text{td}, x)$

π



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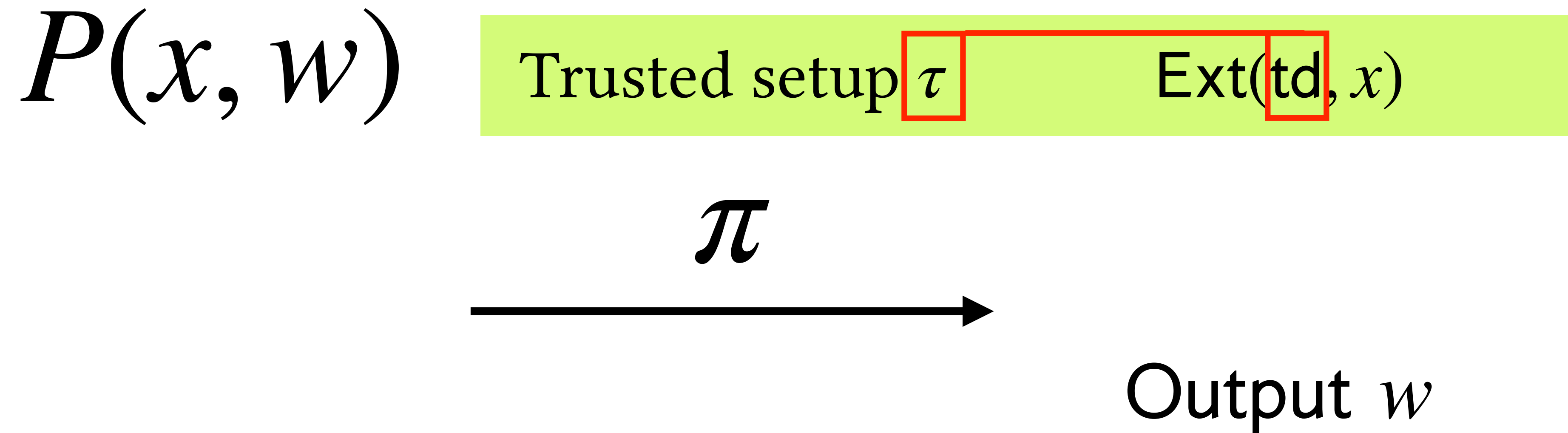
Ext(td, x)

π



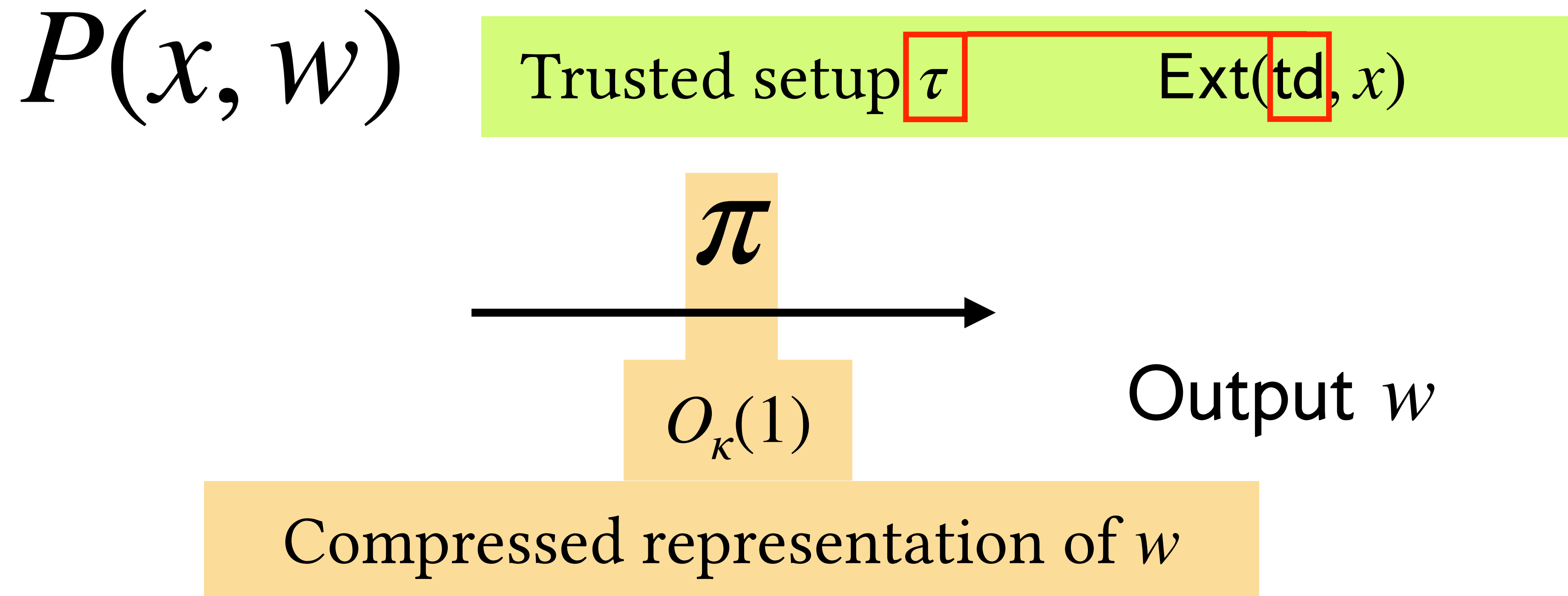
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What Assumptions are Reasonable?

- The extractor clearly needs a trapdoor unavailable to the real verifier
- A Common Reference String trapdoor alone is insufficient [CGKS22]
- We need to relax the problem, i.e. grant the extractor further powers/trapdoors (that are still permissible in the UC setting)
- Random Oracle Model is a good fit; easy to model in UC, and practitioners have experience with heuristic instantiations

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1

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A (too) simple
approach

3

Relaxing to
ROM

Solution
template

Core tool: Succinct Extractable
Concrete Commitments

4

Final remarks

Structure of this talk

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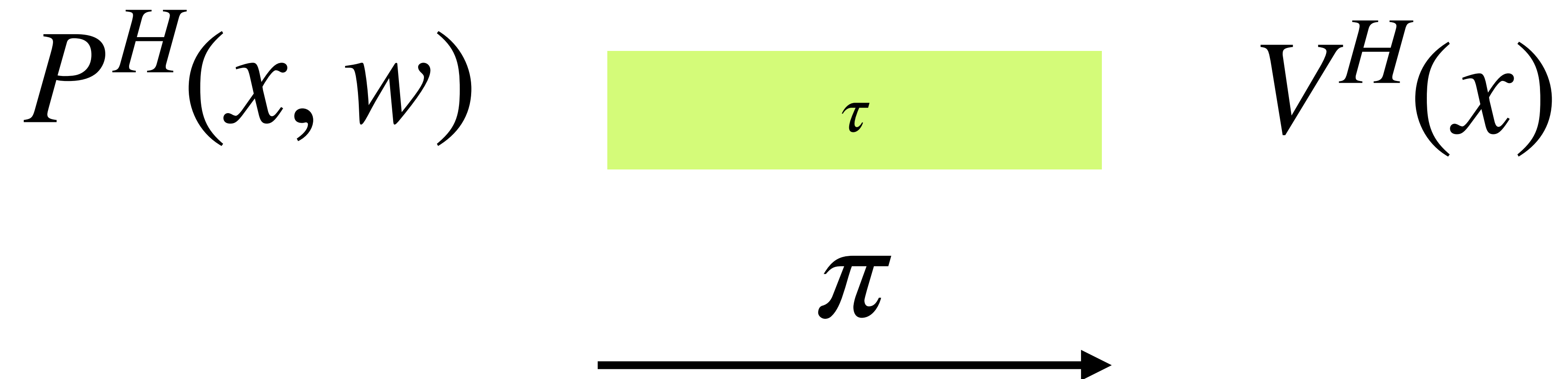
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Concrete Commitments

4

Final remarks

NIZK in the ROM

- P and V additionally make use of a common random oracle H



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$P^H(x, w)$



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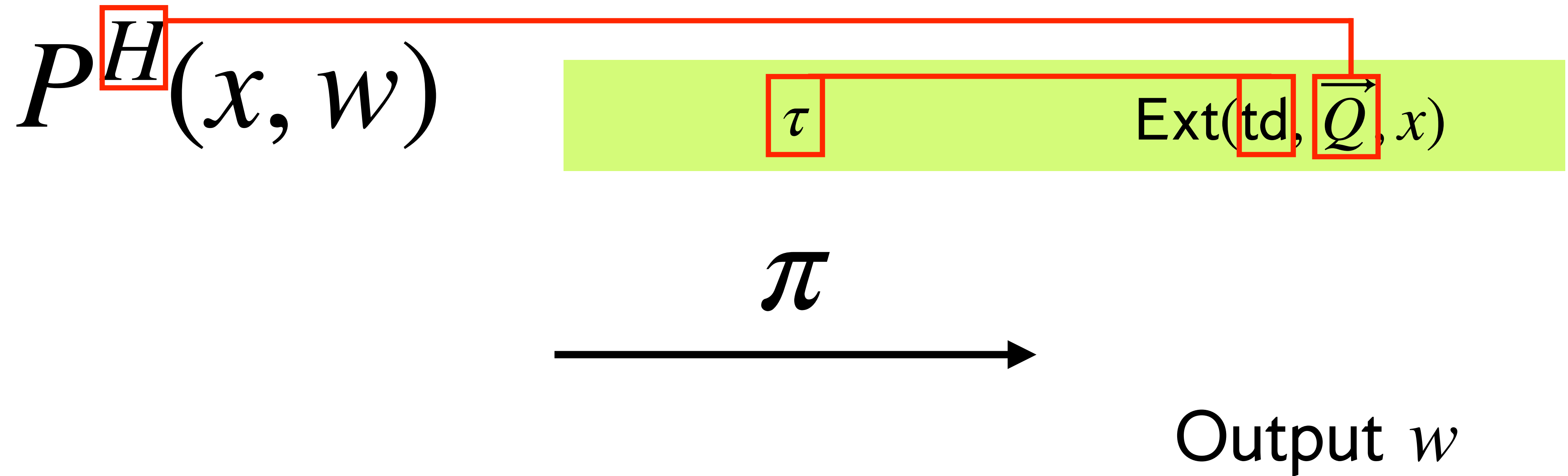
π



Output w

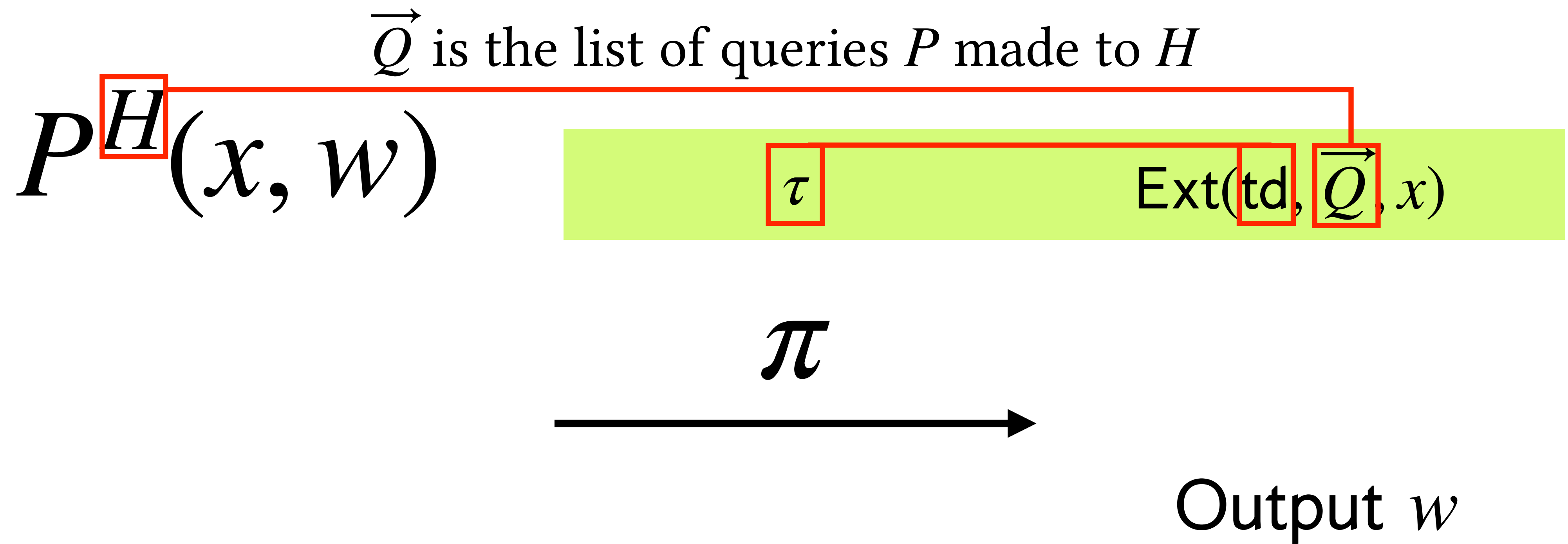
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Improving the Simple Approach

$$P^H(x, w) \quad \boxed{\tau, \text{pk}} \quad V^H(x)$$

$$\begin{array}{c} \pi : \text{“ct encrypts a witness to } x\text{”} \\ \xrightarrow{\hspace{1.5cm}} \\ O_\kappa(1) \quad \text{ct} = \text{Enc}_{\text{pk}}(w) \\ O(|w|) \end{array}$$

Improving the Simple Approach

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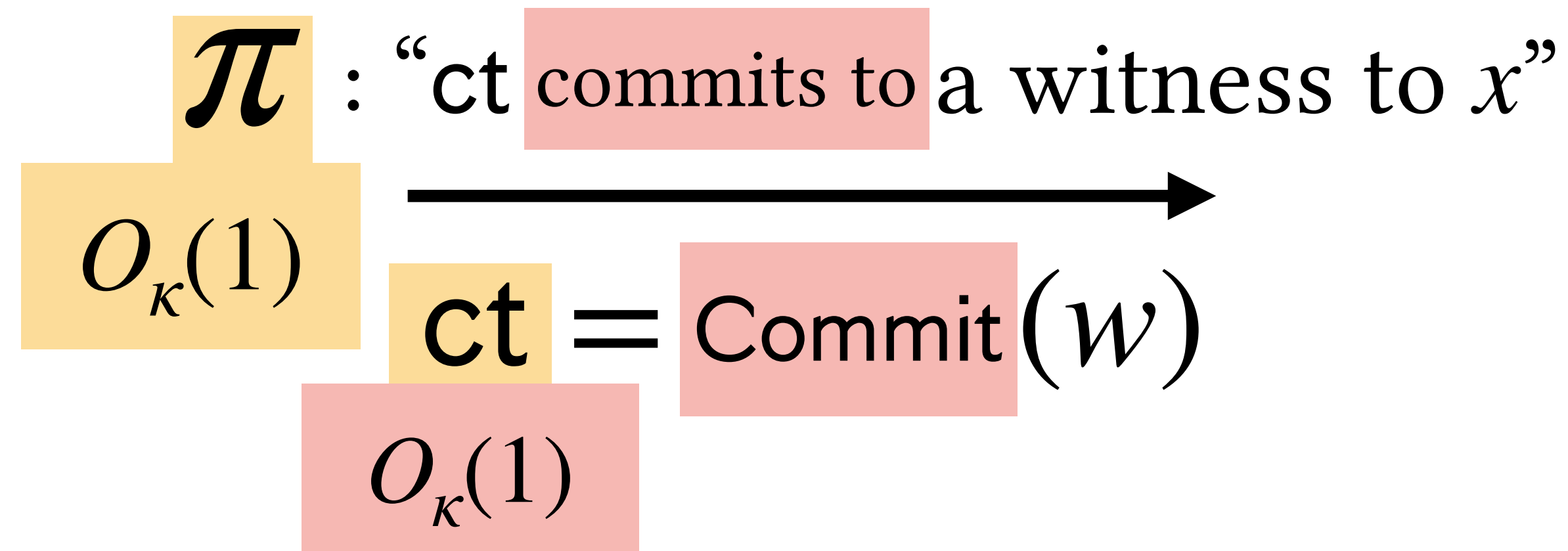
$$\begin{array}{c} \boxed{\pi} : \text{“ct commits to a witness to } x\text{”} \\ \boxed{O_K(1)} \xrightarrow{\hspace{1.5cm}} \\ \boxed{\text{ct}} = \boxed{\text{Commit}(w)} \\ \boxed{O_K(1)} \end{array}$$

Improving the Simple Approach

$P^H(x, w)$

τ, pk

$\text{Ext}(\text{td}, \vec{Q}, x)$



Now how to extract?

Extracting from the Commitment

- Extractable commitments are straightforward in the ROM:
 - $ct = H(m, r)$ to commit to m with randomness r
 - Given ct , \vec{Q} : search for $(m, r) \in \vec{Q}$ such that $H(m, r) = ct$
- But now “ ct commits to a witness to x ” is not a well-formed NP statement, as H does not have a circuit description
- Challenge: construct a commitment scheme that is succinct, extractable, and has a meaningful circuit representation

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2

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approach

3

Relaxing to
ROM

Solution
template

Core tool: Succinct Extractable
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4

Final remarks

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Relaxing to
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Solution
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4

Final remarks

Succinct Extractable Concrete Commitments

- Structure of our commitment: $\text{ct} = (C, \pi_C)$
 - C is a string output by a standard model commitment algorithm Com
 - π_C is a straight-line extractable proof of knowledge of opening of C .
i.e. algorithm $\text{Com-Ext}(\text{td}, \vec{Q}, \text{ct})$ outputs (m, r) , where $\text{Com}(m; r) = C$ when π_C is valid
- Ticks both boxes: “ C commits to a witness to x via Com ” is a well-formed NP statement, and $\text{Com-Ext}(\text{td}, \vec{Q}, \text{ct})$ produces such a witness

Constructing $\text{ct} = (C, \pi_C)$

- P encodes w as the coefficients of a polynomial $f_w \in \mathbb{F}_q[X]$, where $q \in \omega(\text{poly}(\kappa))$ is a parameter of the scheme, and the degree of f_w is determined by the instance



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P

$f_w(1)$	$f_w(2)$				$f_w(i)$					$f_w(n)$
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P

p_1	p_2				p_i					p_n
$f_w(1)$	$f_w(2)$				$f_w(i)$					$f_w(n)$

Constructing $\text{ct} = (C, \pi_C)$

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p_i is a $O_\kappa(1)$ sized proof that $f_w(i)$ is consistent with C

P

p_1	p_2				p_i					p_n
$f_w(1)$	$f_w(2)$				$f_w(i)$					$f_w(n)$

Constructing $\text{ct} = (C, \pi_C)$

- P encodes w as the coefficients of a polynomial $f_w \in \mathbb{F}_q[X]$, where $q \in \omega(\text{poly}(\kappa))$ is a parameter of the scheme, and the degree of f_w is determined by the instance

p_i is a $O_\kappa(1)$ sized proof that $f_w(i)$ is consistent with C

P

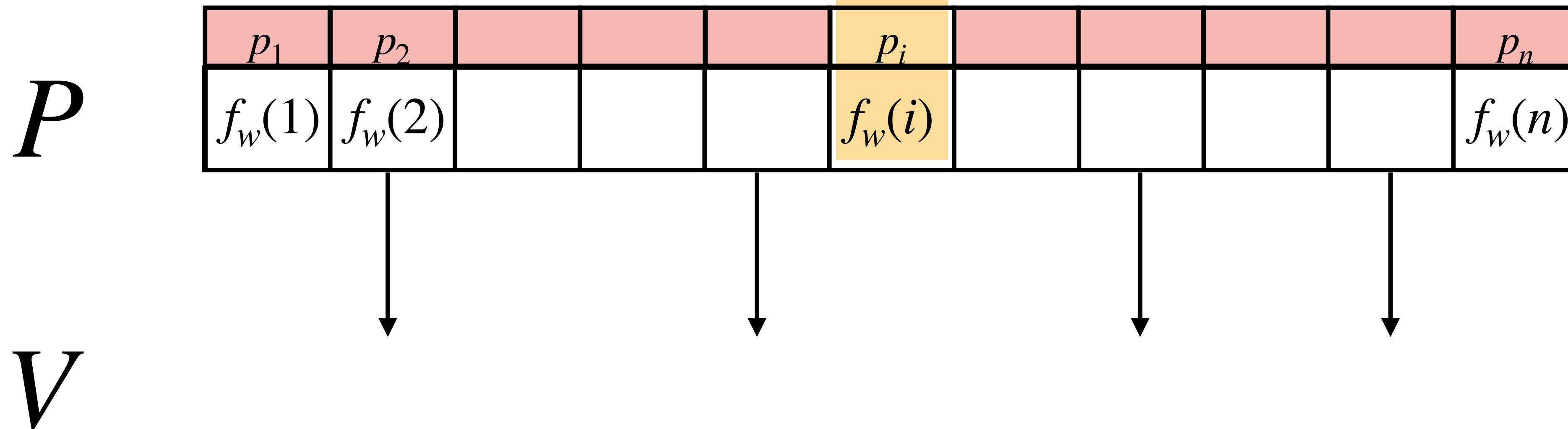
p_1	p_2				p_i					p_n
$f_w(1)$	$f_w(2)$				$f_w(i)$					$f_w(n)$

V

Constructing $\text{ct} = (C, \pi_C)$

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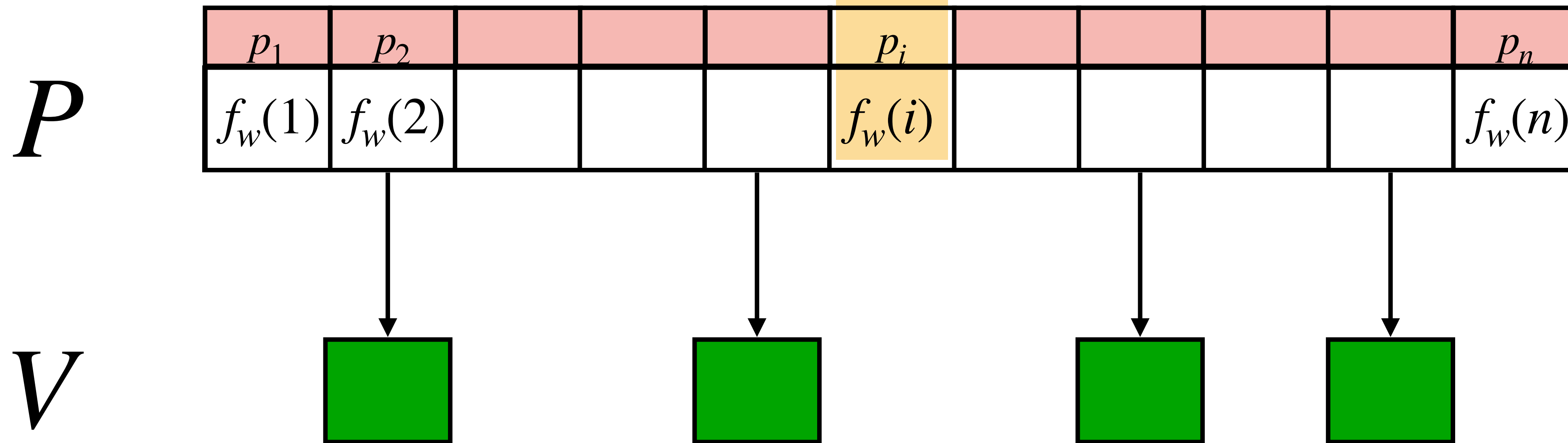
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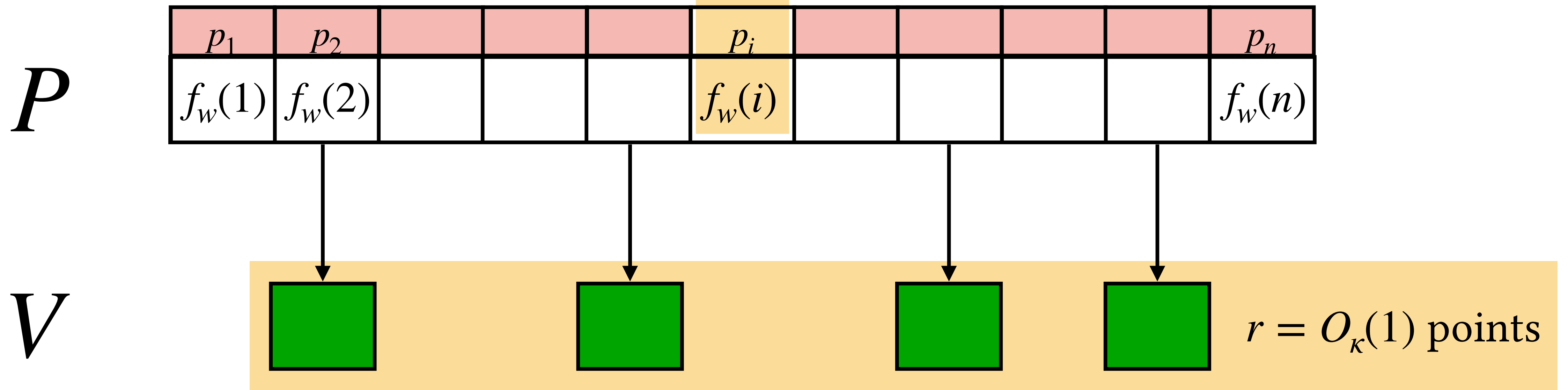
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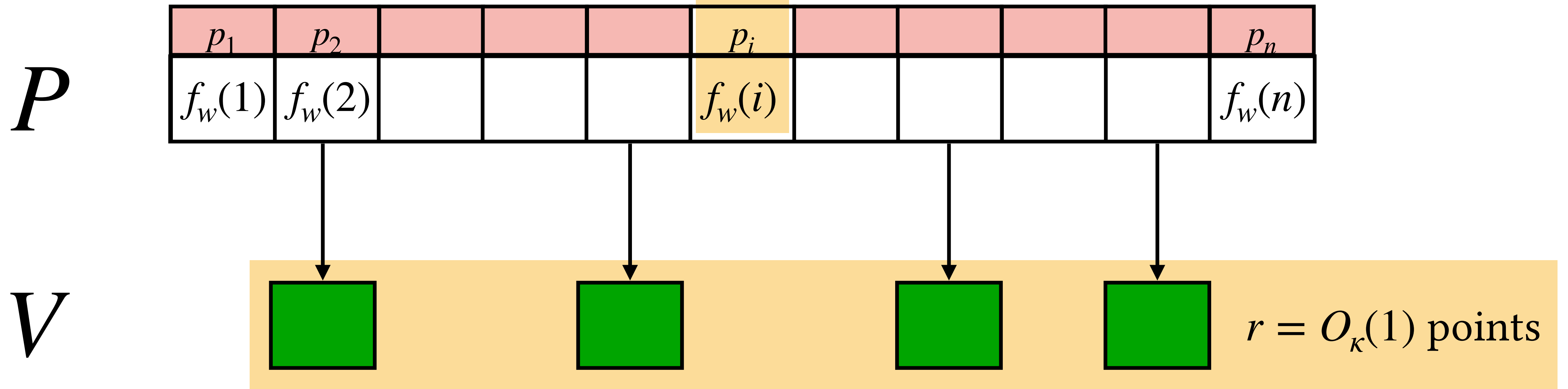
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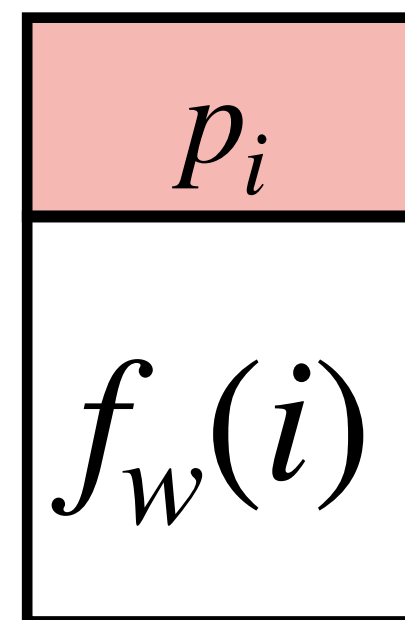


Eg. When $r = \kappa$ and $n > 2d$, except with $\text{Pr} < 2^\kappa$ there are at least d correct evaluations of f_w

Compression via [Fischlin 05]

- [Fischlin 05] gives a method for compiling interactive 3 round protocols to straight-line extractable proofs in the ROM
- Achieves more interesting compression properties than simple cut-and-choose, which turns out to be very useful in this setting

P^H



V^H

Validate $(C, f_w(i), p_i)$

AND

$H(f_w(i), p_i) \stackrel{?}{=} 0$

Compression via [Fischlin 05]

P

p_1	p_2				p_i					p_n
$f_w(1)$	$f_w(2)$				$f_w(i)$					$f_w(n)$

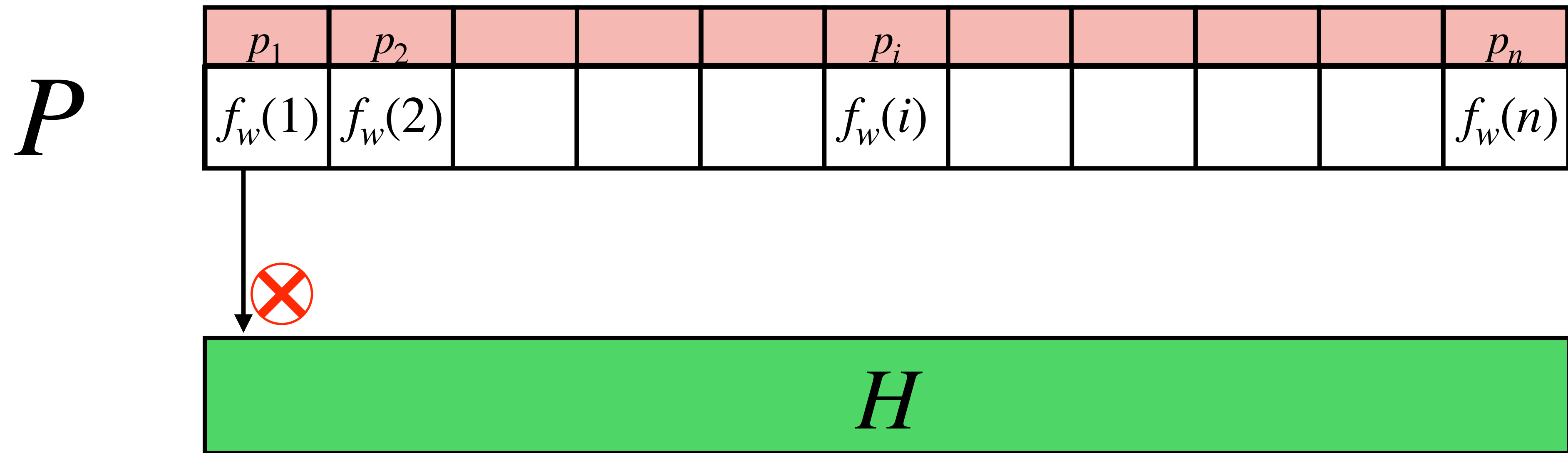
Compression via [Fischlin 05]

P

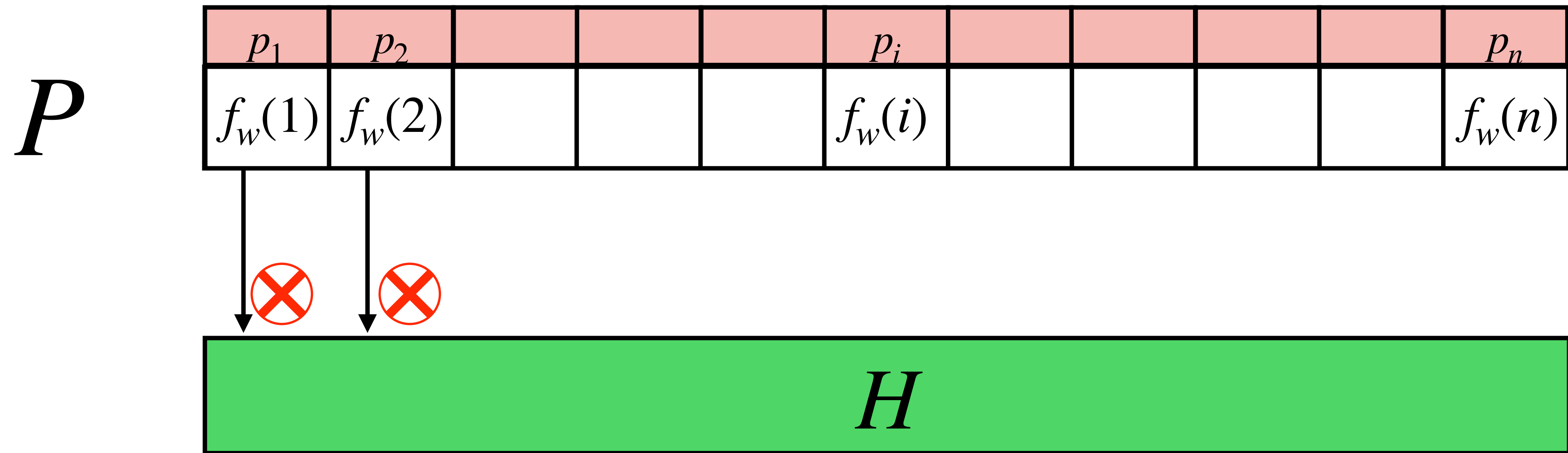
p_1	p_2				p_i					p_n
$f_w(1)$	$f_w(2)$				$f_w(i)$					$f_w(n)$



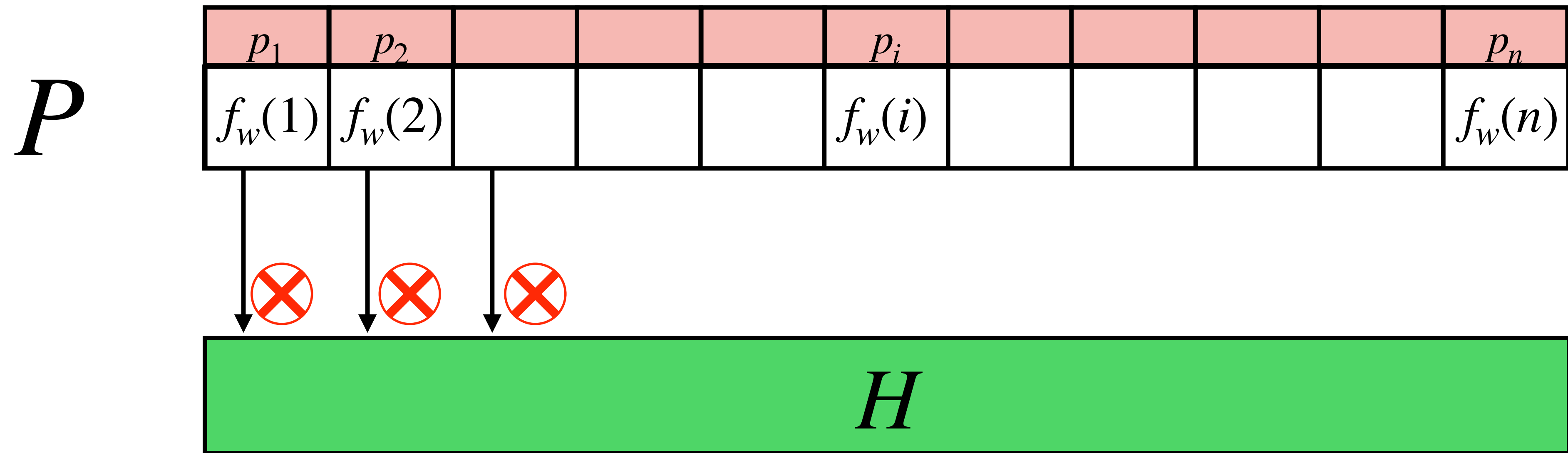
Compression via [Fischlin 05]



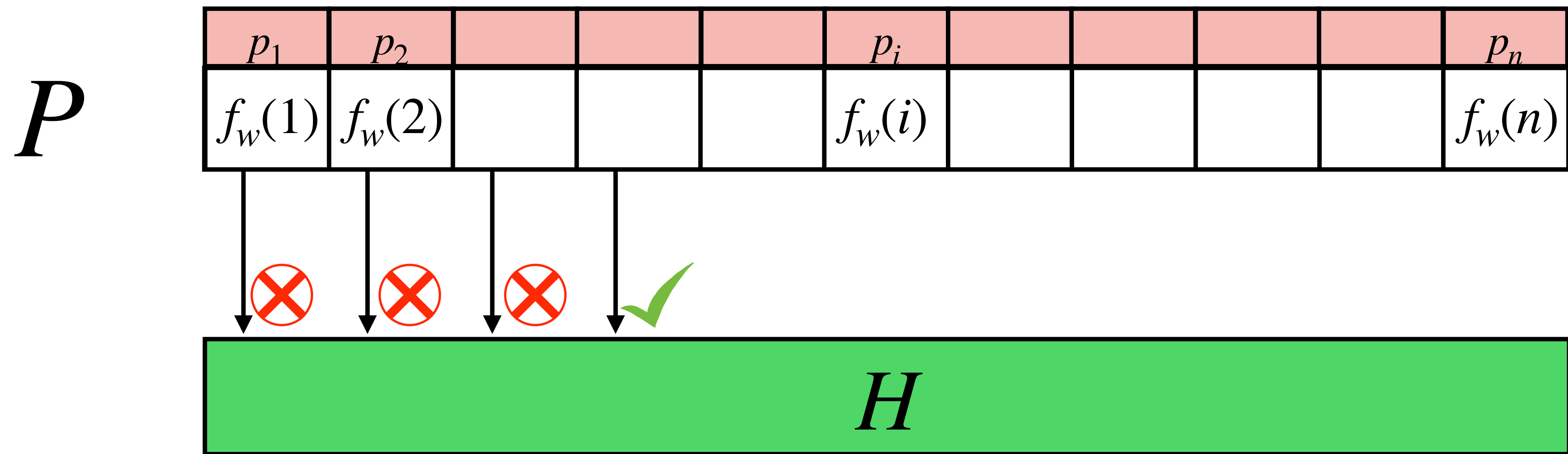
Compression via [Fischlin 05]



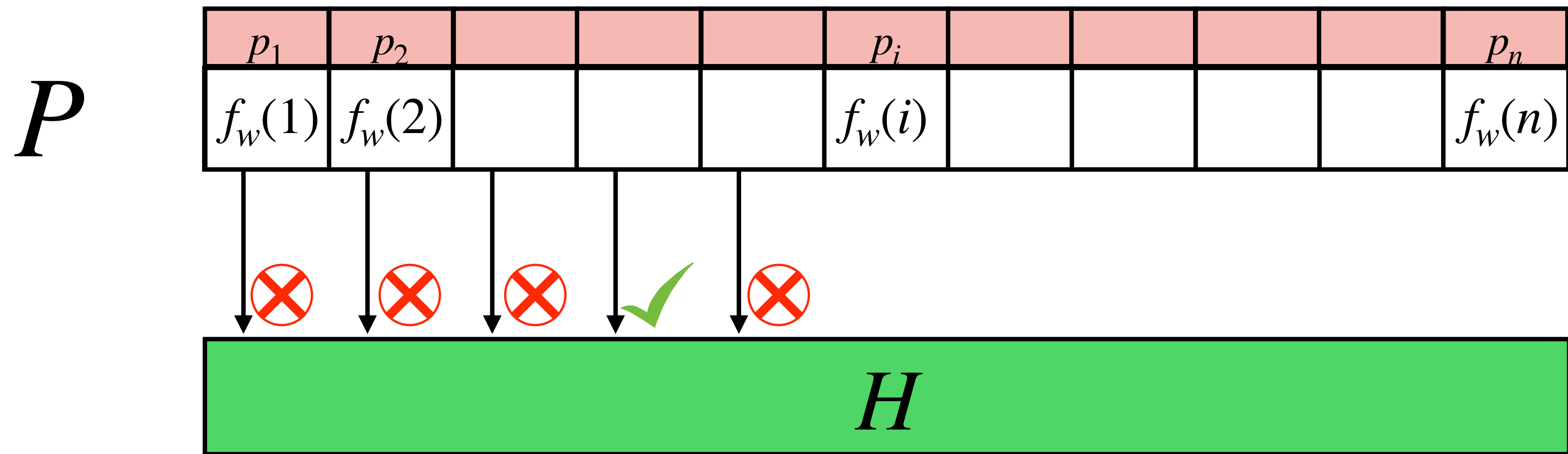
Compression via [Fischlin 05]



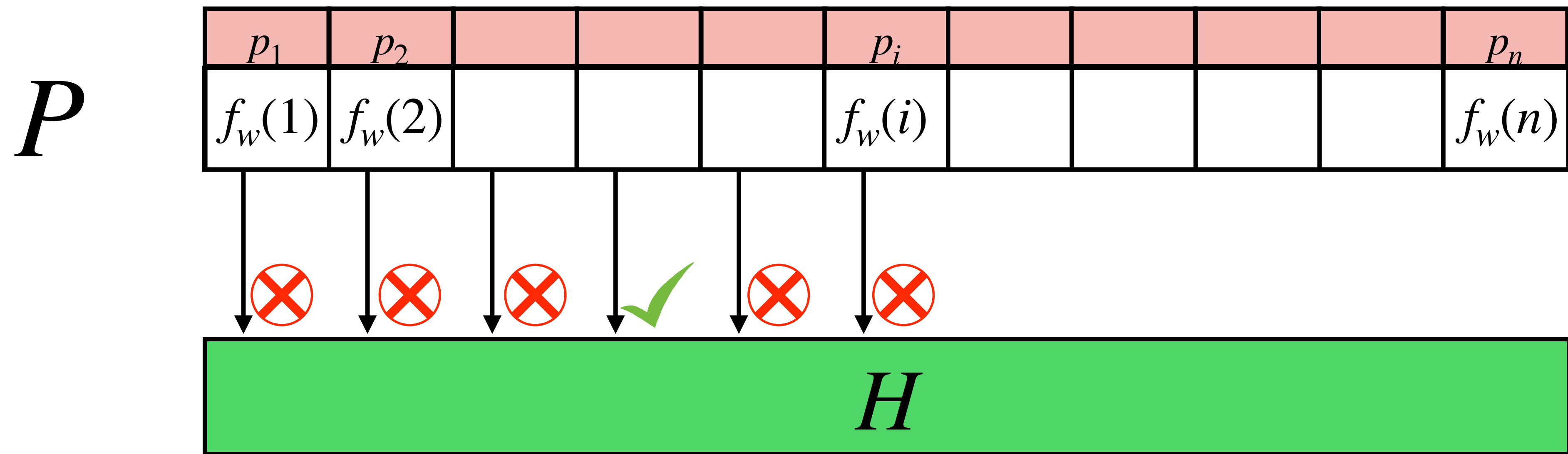
Compression via [Fischlin 05]



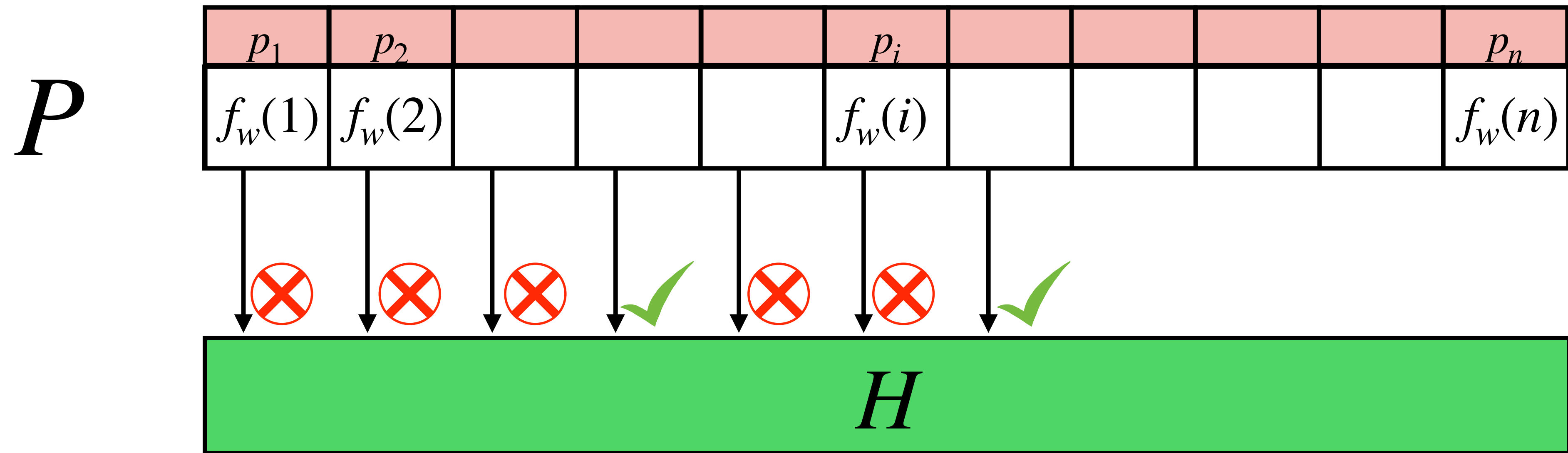
Compression via [Fischlin 05]



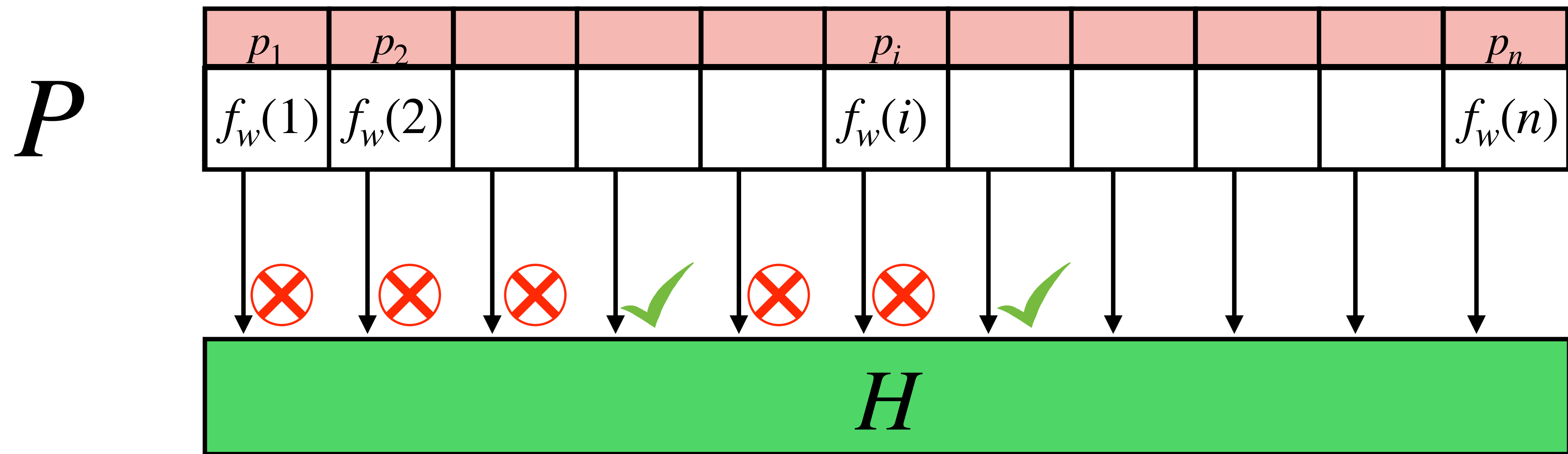
Compression via [Fischlin 05]



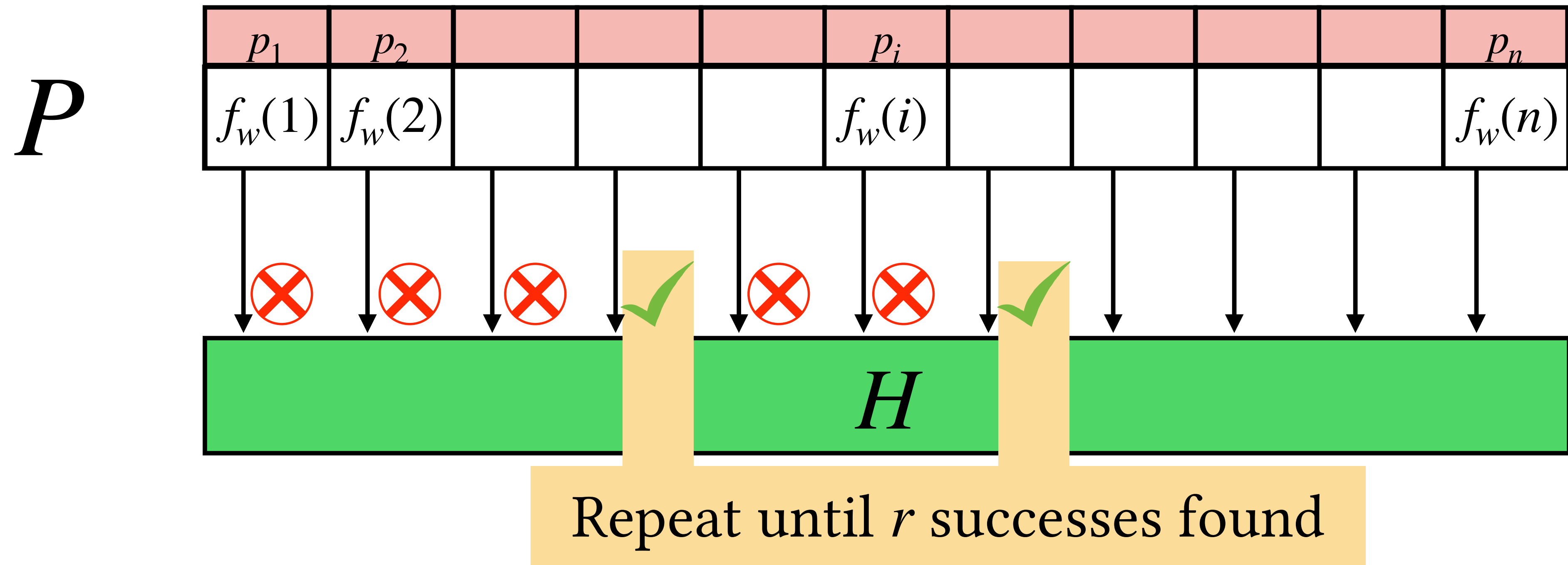
Compression via [Fischlin 05]



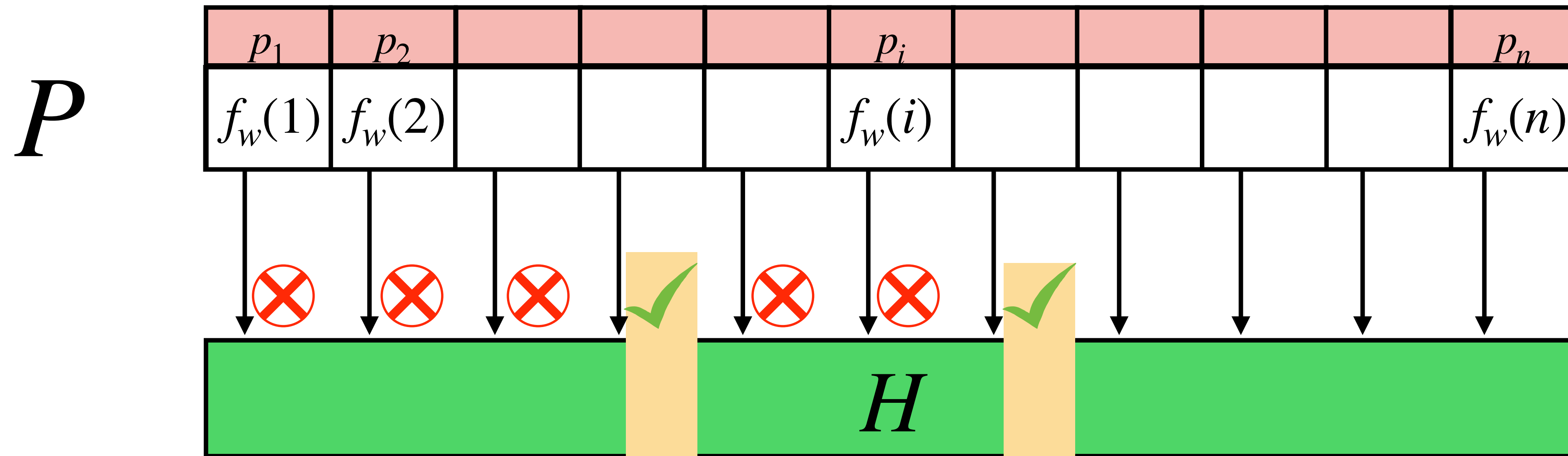
Compression via [Fischlin 05]



Compression via [Fischlin 05]



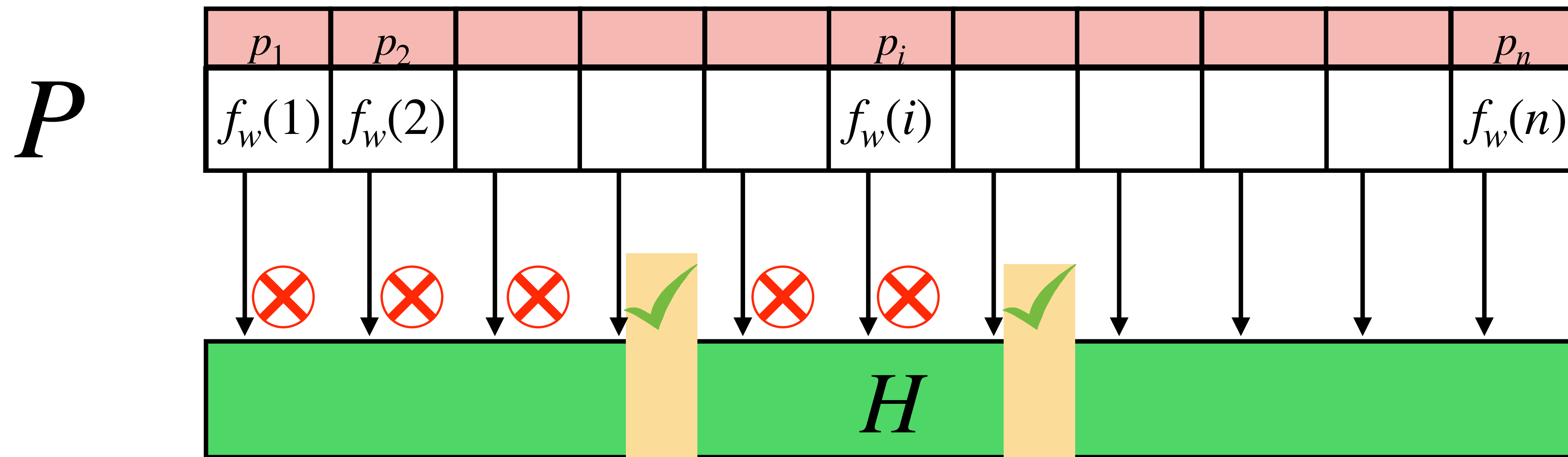
Compression via [Fischlin 05]



Output length of H :
 $\log \kappa + \log d$ bits

Repeat until r successes found

Compression via [Fischlin 05]

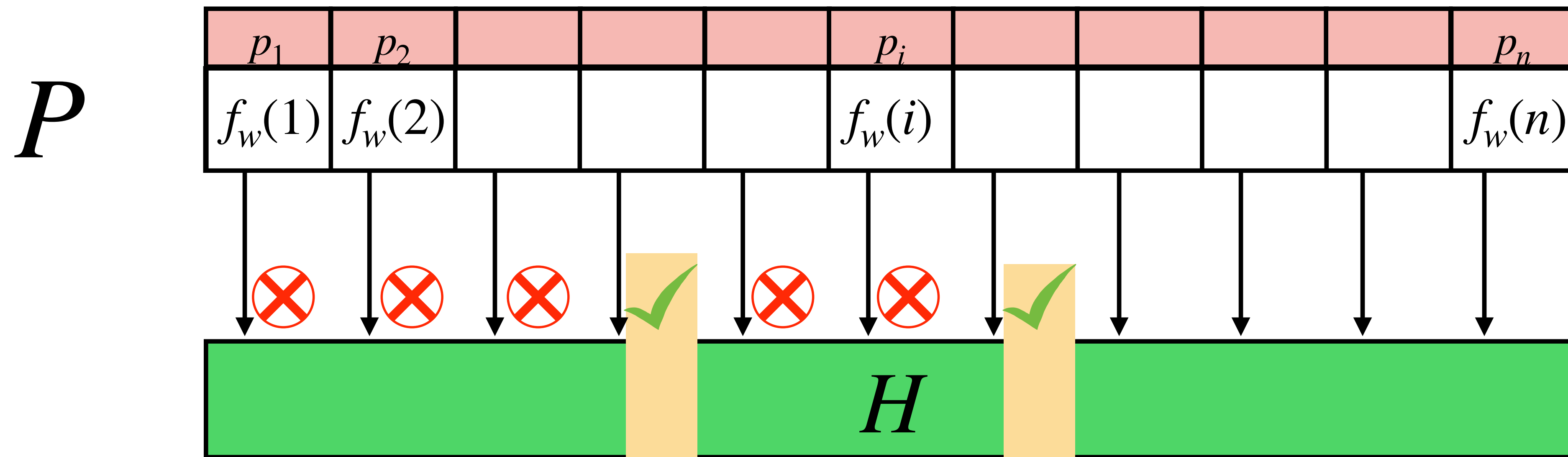


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 P is forced to query more than d
valid points on f_w to H

Compression via [Fischlin 05]



Output length of H :
 $\log \kappa + \log d$ bits

Repeat until r successes found

Extraction: Except with $\Pr < 2^{-\kappa}$,
 P is forced to query more than d
 valid points on f_w to H

Succinctness: P outputs $r \in O_\kappa(1)$
 tuples $(p_i, f_w(i))$

Putting it Together

$P^H(x, w)$

τ

$\text{Ext}(\text{td}, \vec{Q}, x)$

$\pi : "C = \text{Com}(w), \text{ and } R(x, w) = 1"$



$\text{ct} = (C, \pi_C)$

Output $\text{Com-Ext}(\text{td}, \vec{Q}, \text{ct})$

Putting it Together

$P^H(x, w)$

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π : “ $C = \text{Com}(w)$, and $R(x, w) = 1$ ”

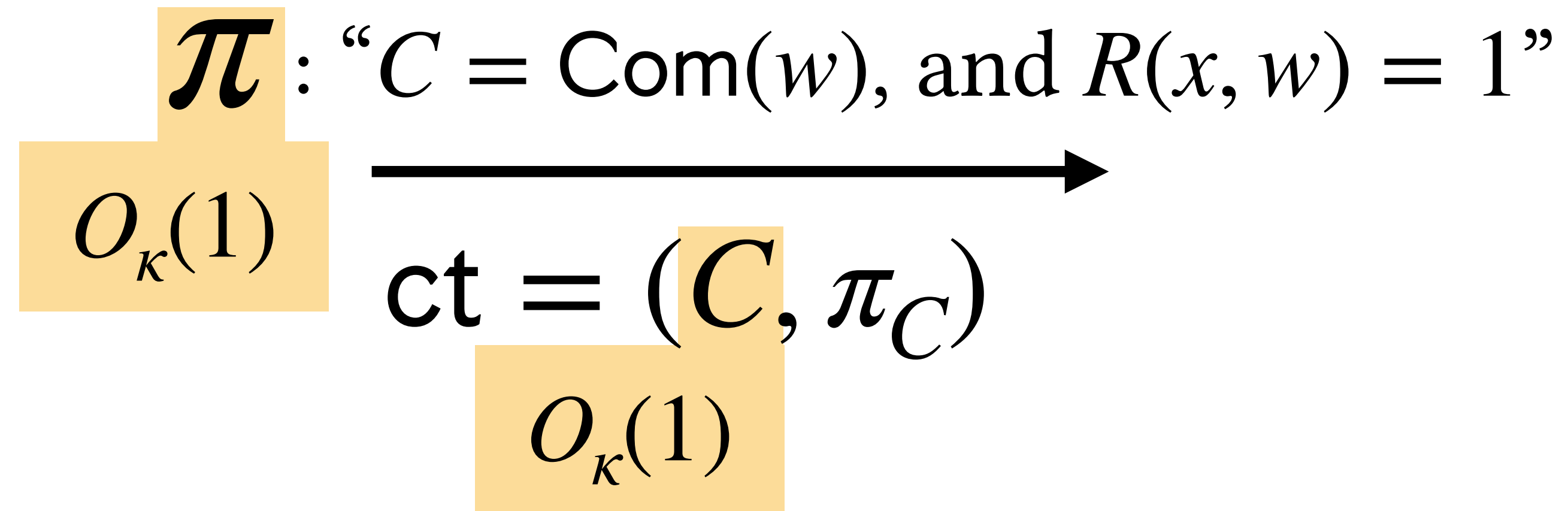
$O_k(1)$

$\text{ct} = (C, \pi_C)$

Output $\text{Com-Ext}(\text{td}, \vec{Q}, \text{ct})$

Putting it Together

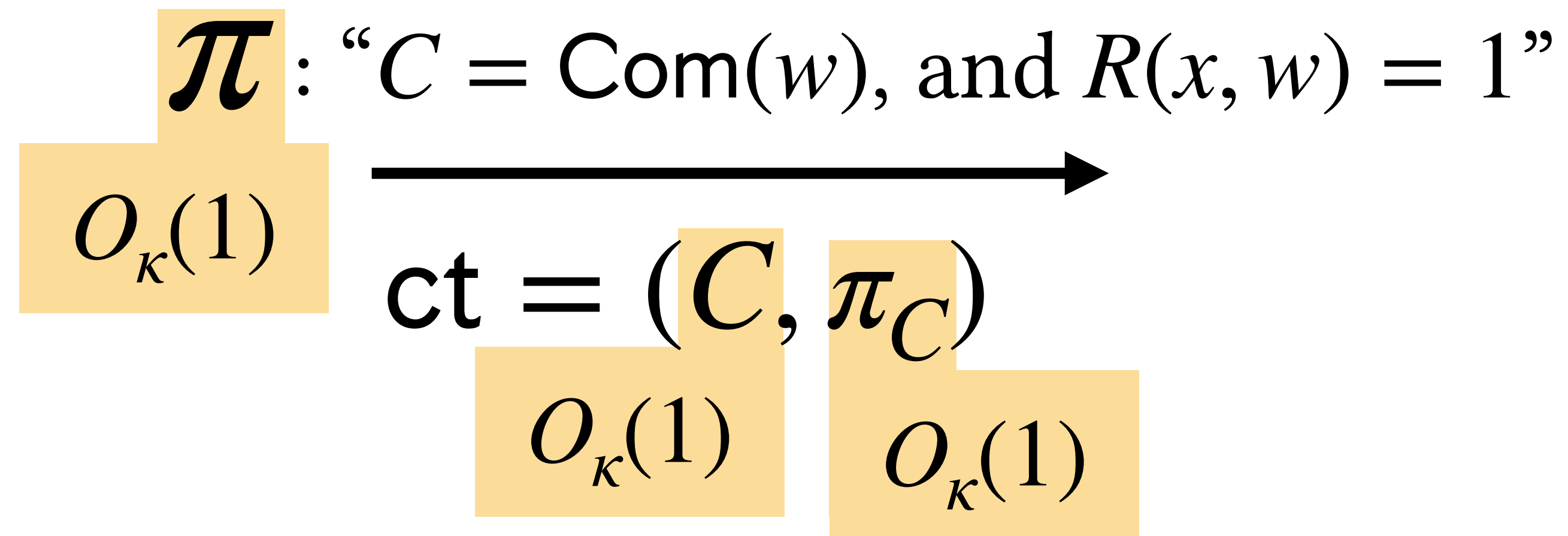
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Putting it Together

$P^H(x, w)$



Output $\text{Com-Ext}(\text{td}, \vec{Q}, \text{ct})$

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Quick recap: NIZK

Why UC?

2

What existing works
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What makes achieving
UC difficult

A (too) simple
approach

3

Relaxing to
ROM

Solution
template

Core tool: Succinct Extractable
Concrete Commitments

4

Final remarks

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Final remarks

Missing Technicalities

- The final theorem still depends on the non-blackbox extractor (and consequently inherits any knowledge assumptions), albeit in a “UC compatible” way; the NB extractor is only invoked to argue indistinguishability of hybrid experiments
- Zero-knowledge/Simulation requires inflating the degree of f_w
- Applying Fischlin’s technique is quite subtle; we need some non-standard *uniqueness* properties from the p_i proofs (satisfied by [KZG10])

In Summary

- We give a compiler (in the ROM) to lift any Simulation Extractable NIZK to a UC NIZK, while preserving the same asymptotic level of succinctness (ignoring security parameter terms)
- Plugging in existing $O_\kappa(1)$ sized proofs, we obtain the first $O_\kappa(1)$ sized UC NIZK
- Our core technical ingredient is to construct a succinct extractable commitment scheme in the ROM via Fischlin's compression method

Questions?